

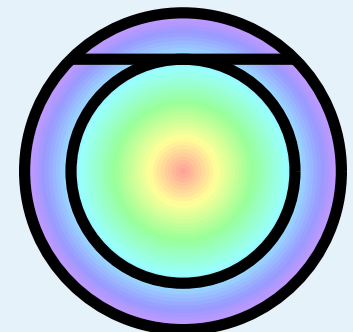
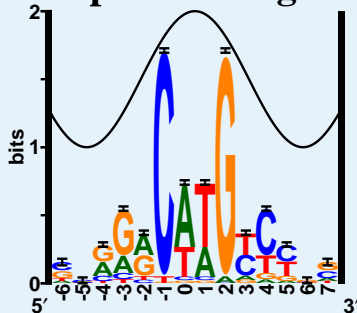


Why is the Genetic Code Degenerate?

Thomas D. Schneider, Ph.D.

Molecular Information Theory Group
Center for Cancer Research
Gene Regulation and Chromosome Biology Laboratory
National Cancer Institute
National Institutes of Health
Frederick, MD

132 p53 binding sites



The Genetic Code is Degenerate

The Genetic Code translates:
 3 nucleotides in RNA
 (a codon)
 to one amino acid in a protein

		Second base in codon				
		U	C	A	G	
First base in codon	U	Phe	Ser	Tyr	Cys	U
		Phe	Ser	Tyr	Cys	C
		Leu	Ser	och	opa	A
		Leu	Ser	amb	Trp	G
	C	Leu	Pro	His	Arg	U
		Leu	Pro	His	Arg	C
		Leu	Pro	Gln	Arg	A
		Leu	Pro	Gln	Arg	G
	A	Ile	Thr	Asn	Ser	U
		Ile	Thr	Asn	Ser	C
		Ile	Thr	Lys	Arg	A
		Met	Thr	Lys	Arg	G
	G	Val	Ala	Asp	Gly	U
		Val	Ala	Asp	Gly	C
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		Leu	Pro	Gln	Arg	G
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		Ile	Thr	Asn	Ser	C
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		Leu	Pro	Gln	Arg	G
A		Ile	Thr	Asn	Ser	U
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	Leu	Pro	Gln	Arg	G	
A	Ile	Thr	Asn	Ser	U	
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$4 \times 4 \times 4 = 4^3 = 64$ codons

BUT only 20 amino acids

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Where we are going:

The Genetic Code is degenerate because it has distinct states.

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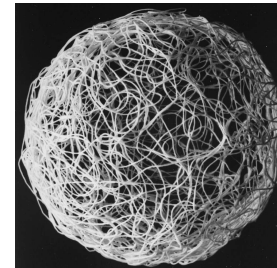
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		Leu	Pro	Gln	Arg	A
		Leu	Pro	Gln	Arg	G
A	A	Ile	Thr	Asn	Ser	U
		Ile	Thr	Asn	Ser	C
		Ile	Thr	Lys	Arg	A
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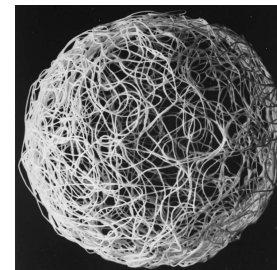
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Information Theory: One-Minute Lesson

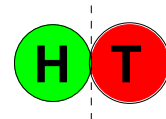
number of symbols	number of bits	example
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M

B

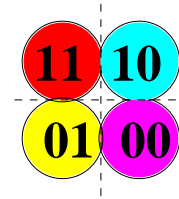
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1



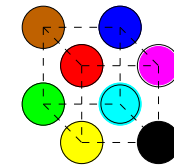
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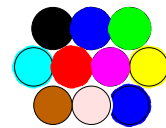
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3



$$M=2^B$$

$$B=\log_2 M$$



Information Theory: One-Minute Lesson

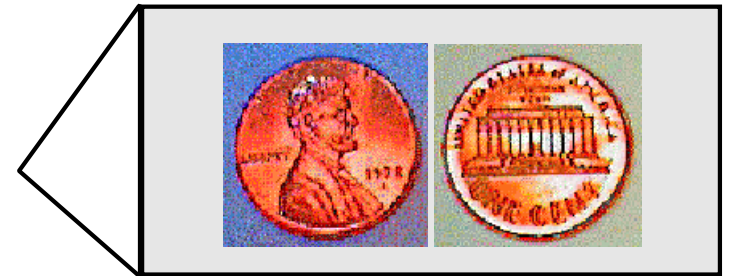
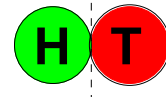
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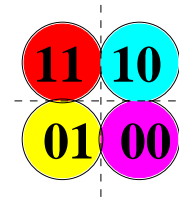
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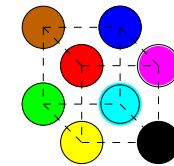
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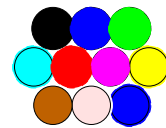
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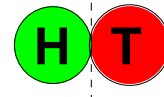
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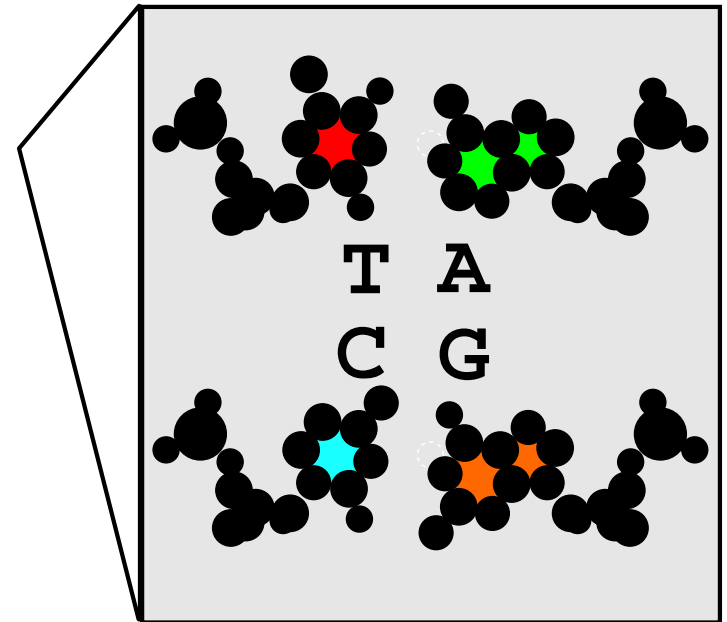
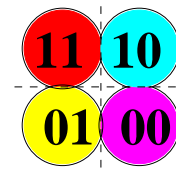
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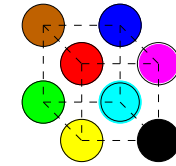
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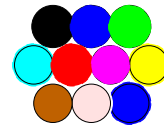
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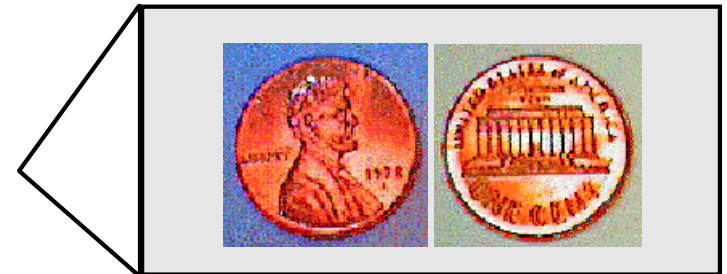
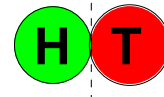
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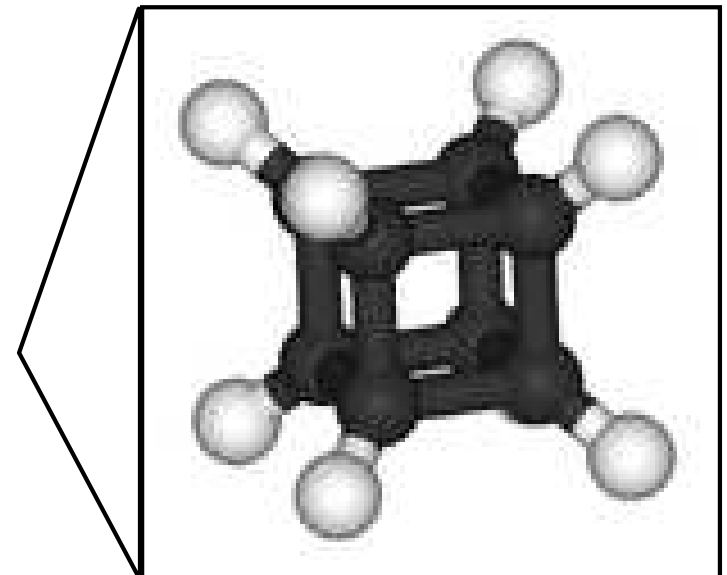
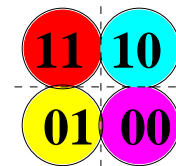
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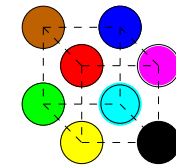
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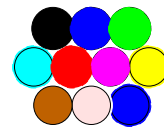
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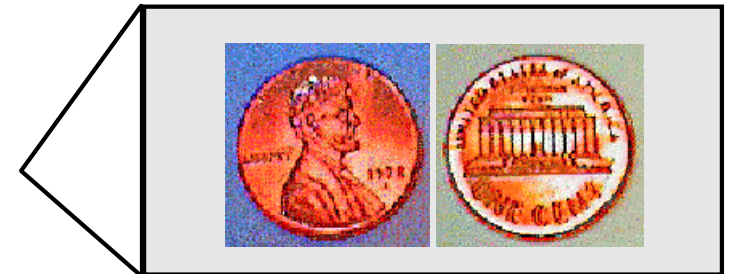
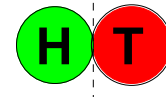
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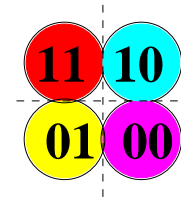
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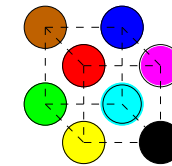
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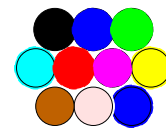
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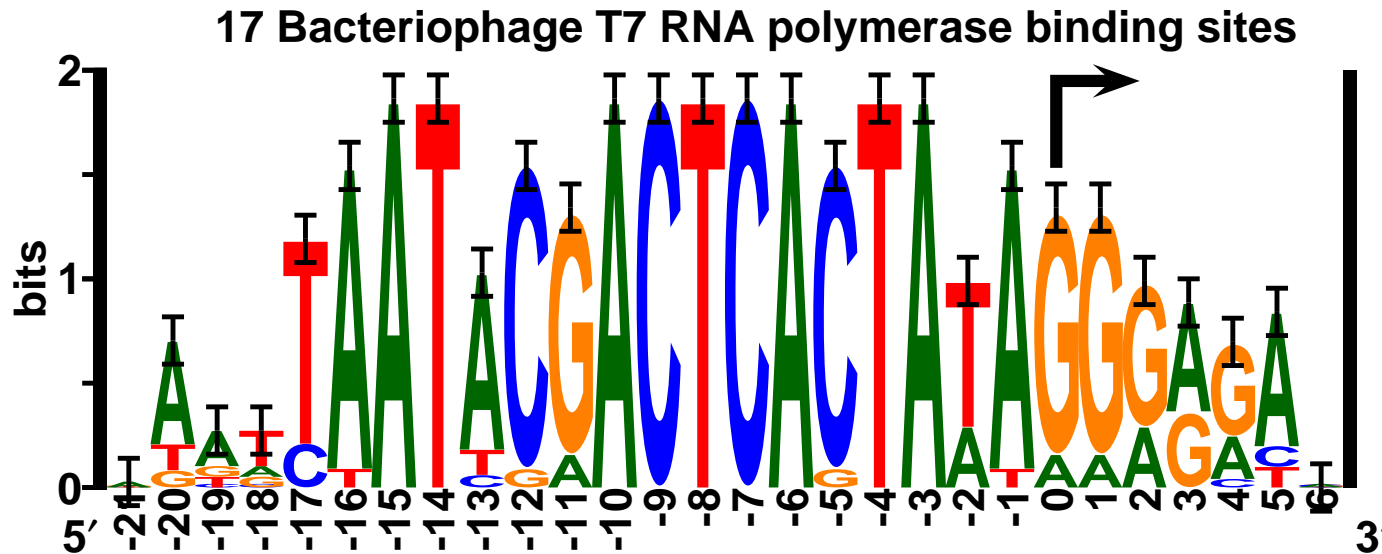


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Sequence Logo

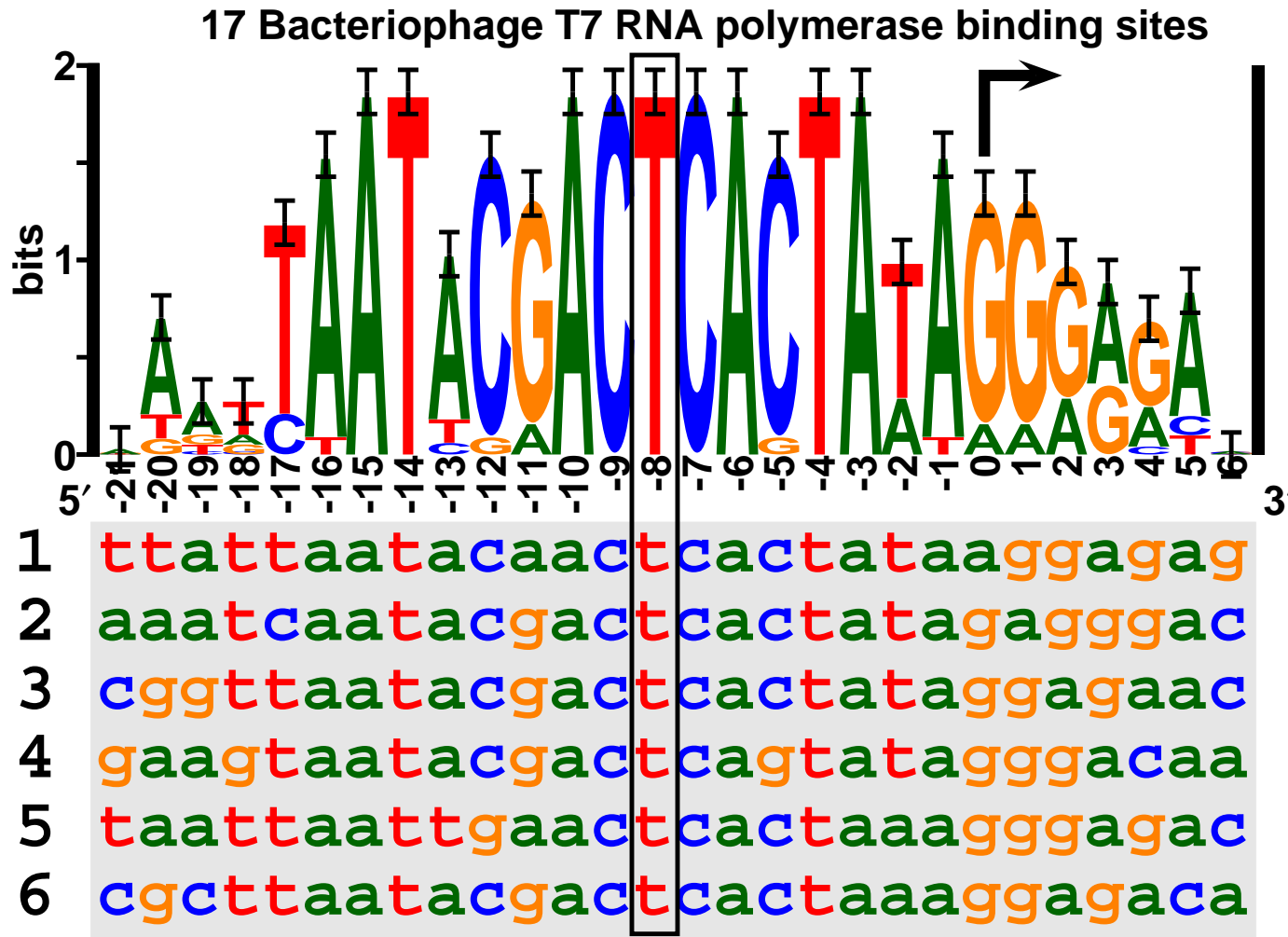


Schneider &
Stephens
Nucl. Acids Res.
18: 6097-6100
1990

```
1 ttattaatacaactcactataaggagag
2 aaatcaatacgaactcactatagaggac
3 cggttaatacgaactcactataggagaac
4 gaagtaatacgaactcagtatagggacaa
5 taattaattgaactcactaaaggggagac
6 cgcttaatacgaactcactaaagggagaca
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6 of 17 sites

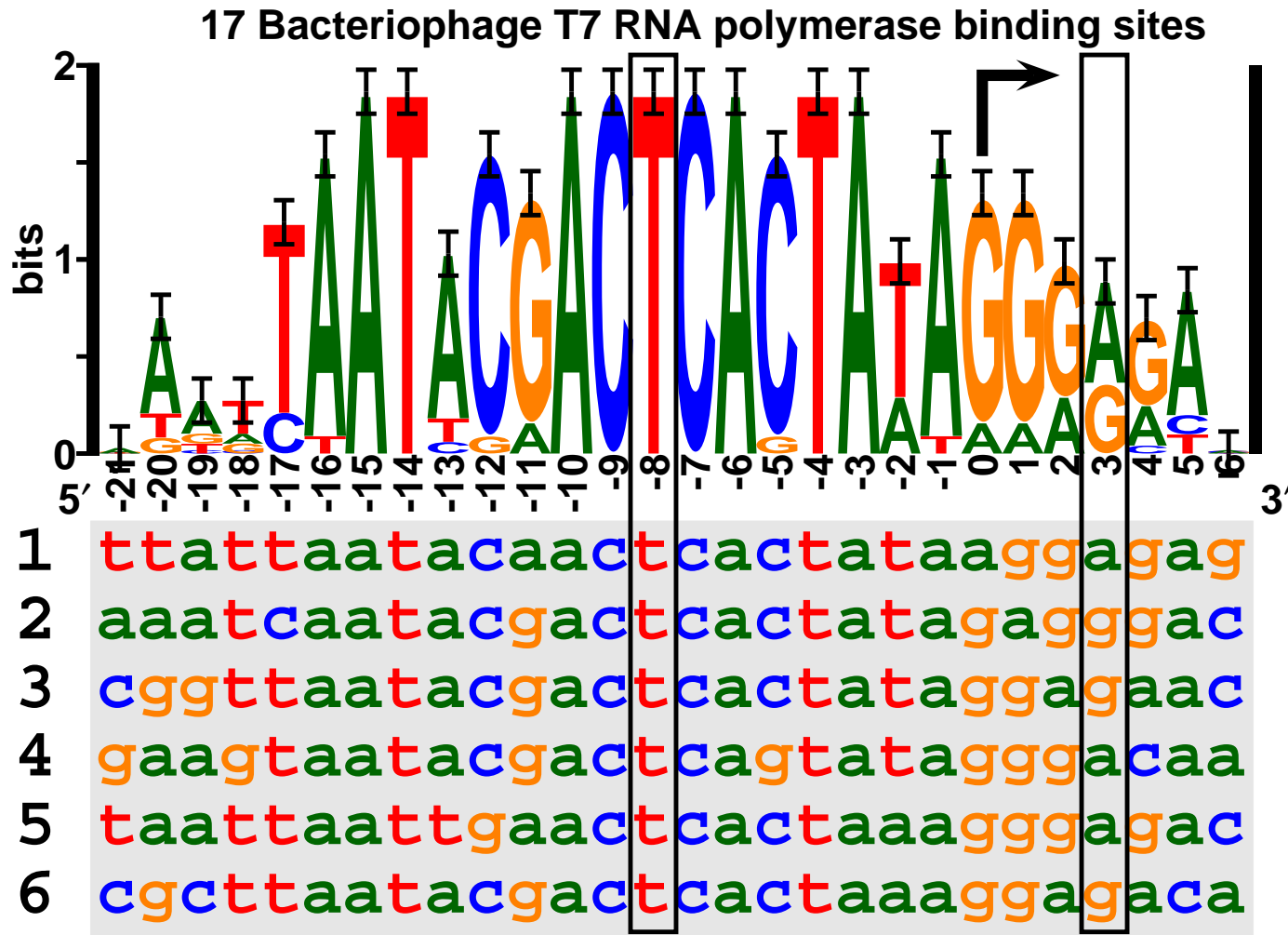
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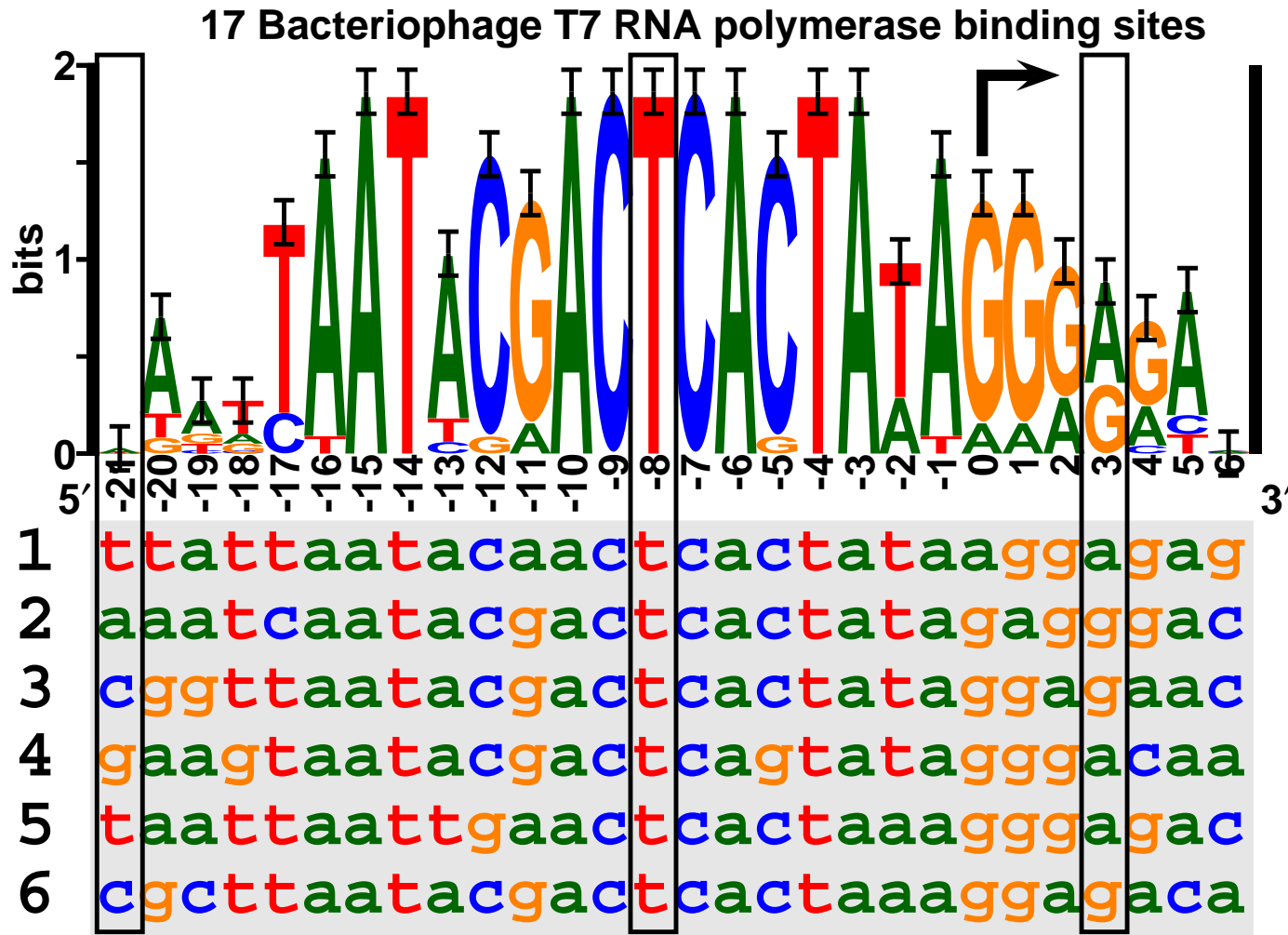
Sequence Logo



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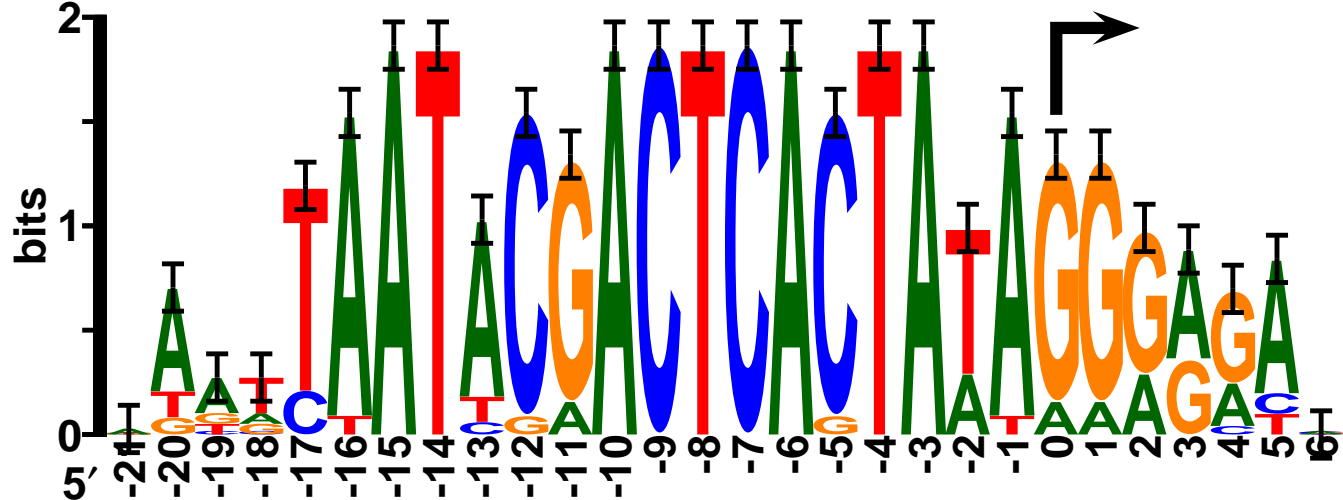


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1990

6 of 17 sites

Sequence Logo and Sequence Walker

17 Bacteriophage T7 RNA polymerase binding sites

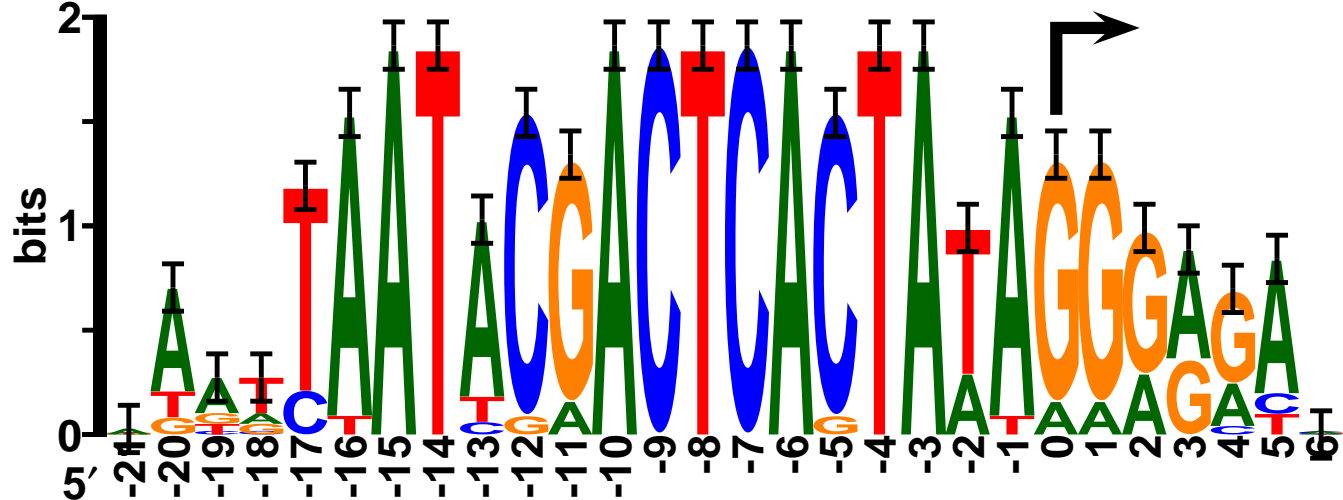


Schneider &
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Nucl. Acids Res.
18: 6097-6100
1990

	Sequence	Bits
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2	aaatcaatacgactcactatagagggac	37.4
3	cggttaatacgactcactataggagaac	34.4
4	gaagtaatacgactcagtatagggacaa	33.1
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6	cgcttaatacgactcactaaaggagaca	29.1

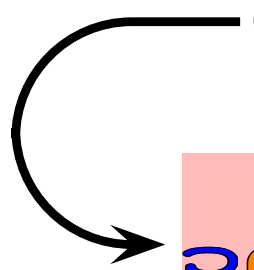
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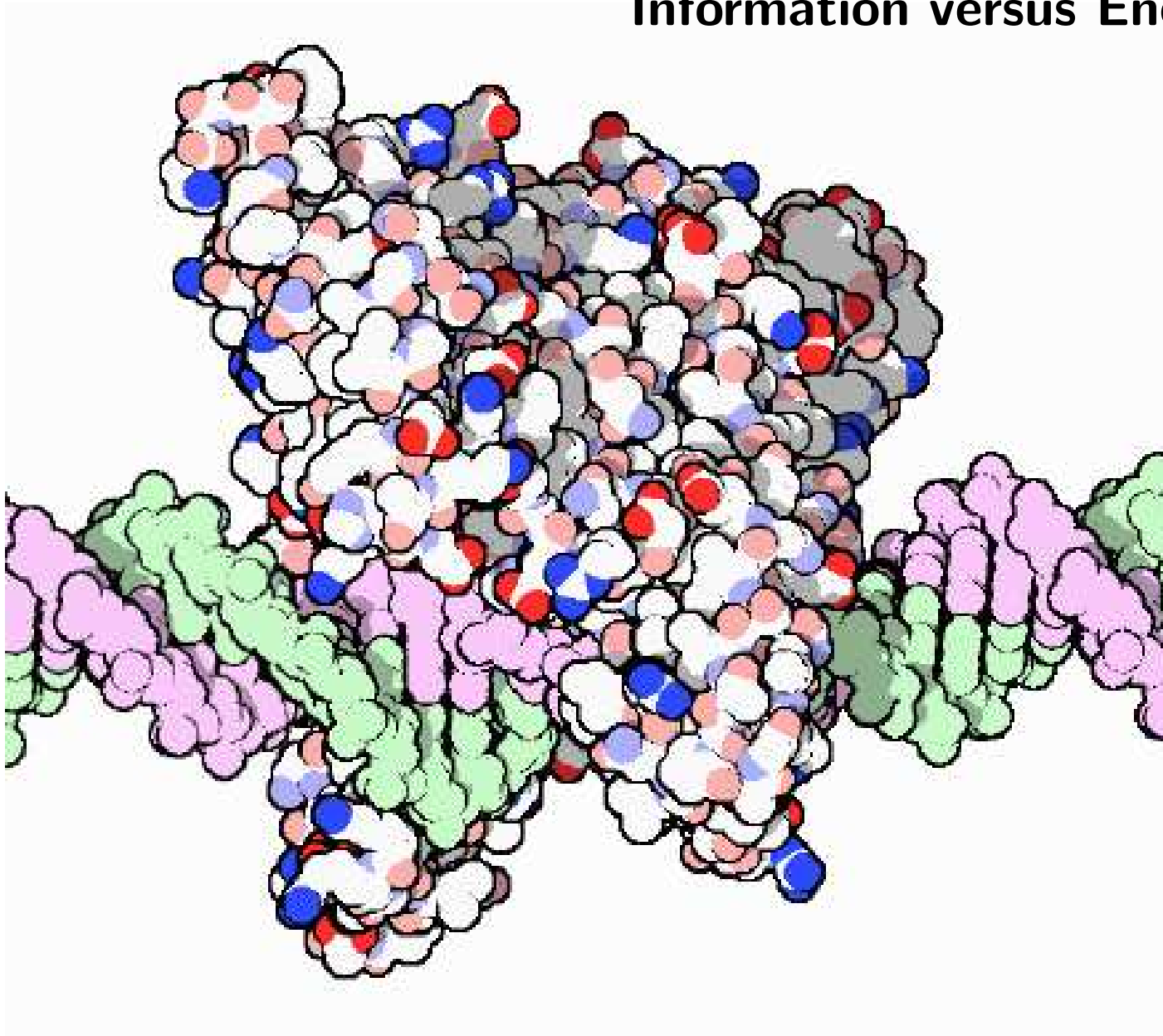
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3	cggttaatacgactcactataggagaac	34.4
4	gaagtaatacgactcagtatagggacaa	33.1
5	taattaattgaactcactaaaggggagac	30.1
6	cgcttaatacgactcactaaaggagaca	29.1



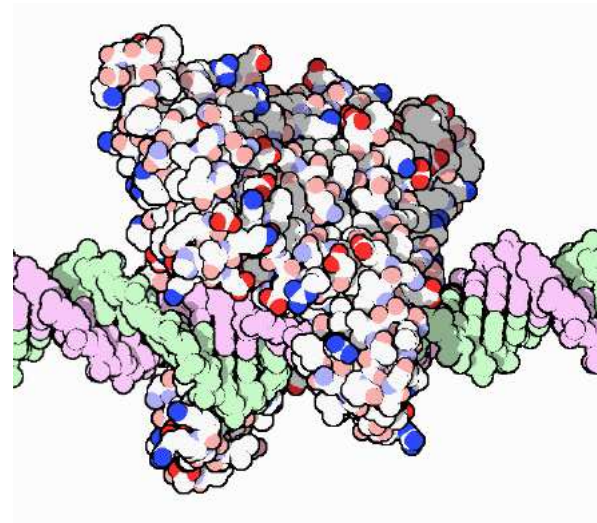
Sequence
Walker
Patent
5,867,402

Information versus Energy



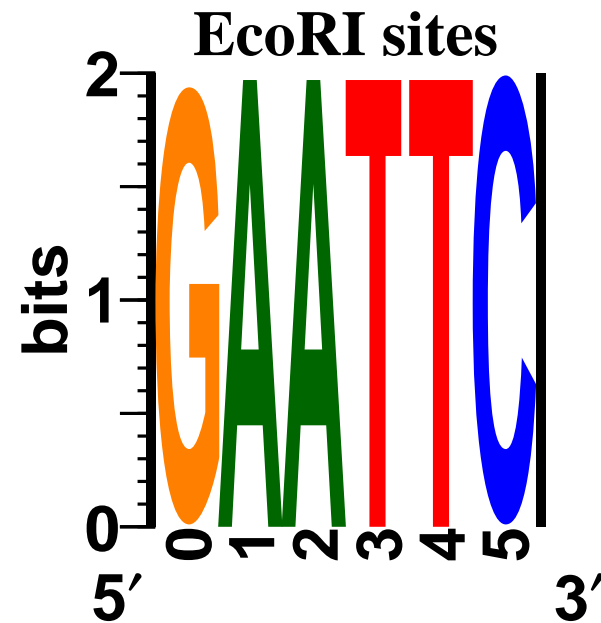
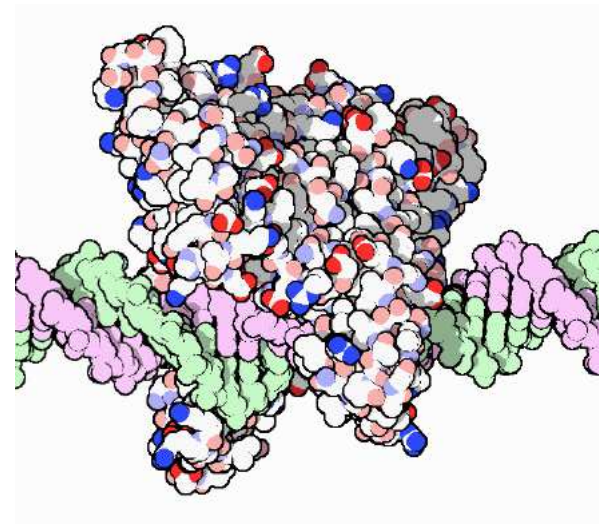
Information of EcoRI DNA Binding

- EcoRI - restriction enzyme



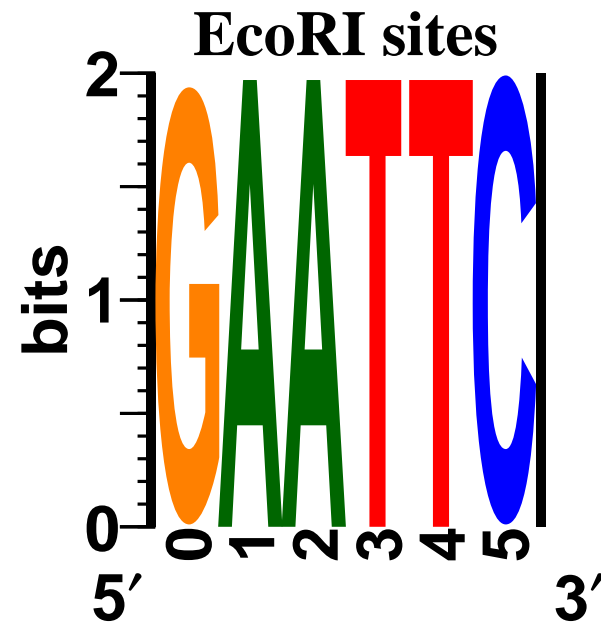
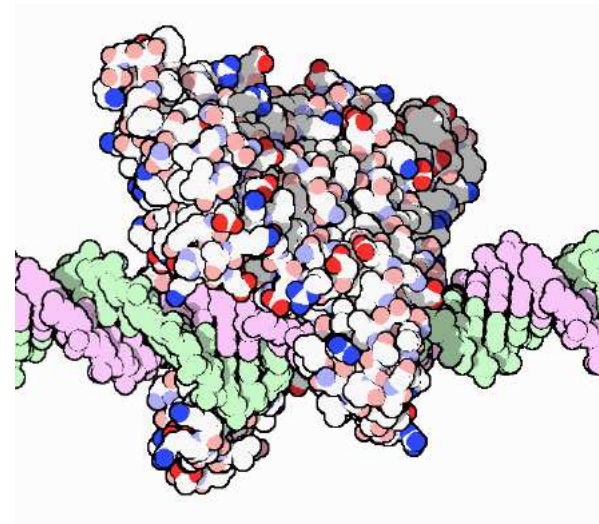
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- EcoRI - restriction enzyme
- EcoRI binds DNA at 5' GAATTC 3'



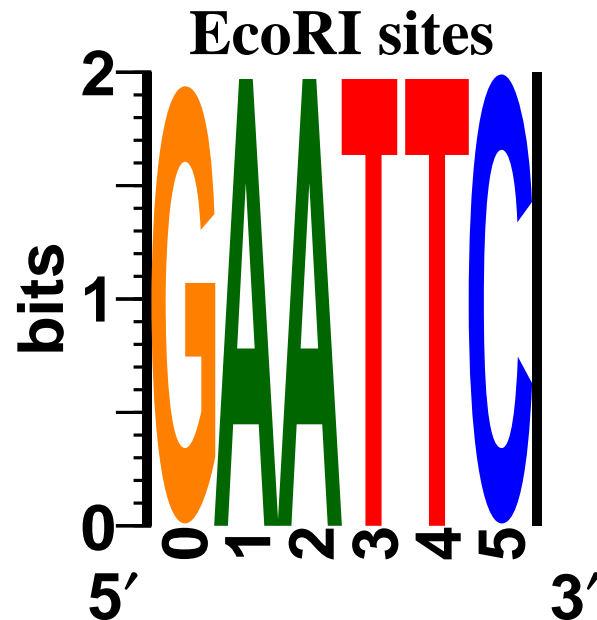
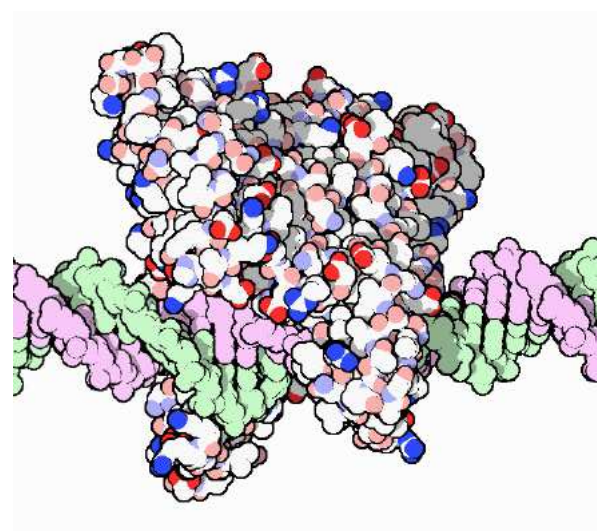
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- $4^6 = 4096$ possible DNA hexamers



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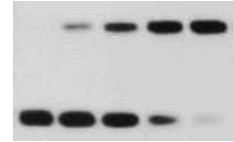
- EcoRI - restriction enzyme
- EcoRI binds DNA at 5' GAATTC 3'
- $4^6 = 4096$ possible DNA hexamers
- information required:
 $\log_2 4096 = 12$ bits
or
 $6 \text{ bases} \times 2 \text{ bits per base} = \boxed{12 \text{ bits}}$



Energy Dissipation by EcoRI

- Measured specific binding constant:

$$K_{spec} = 1.6 \times 10^5$$



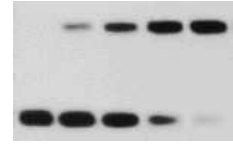
Energy Dissipation by EcoRI

- Measured specific binding constant:

$$K_{spec} = 1.6 \times 10^5$$

- Average energy dissipated by one molecule as it binds:

$$\Delta G_{spec}^{\circ} = -k_B T \ln K_{spec} \quad (\text{joules per binding})$$



Energy Dissipation by EcoRI

- Measured specific binding constant:

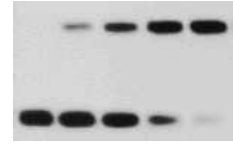
$$K_{spec} = 1.6 \times 10^5$$

- Average energy dissipated by one molecule as it binds:

$$\Delta G_{spec}^{\circ} = -k_B T \ln K_{spec} \quad (\text{joules per binding})$$

- The Second Law of Thermodynamics as a conversion factor:

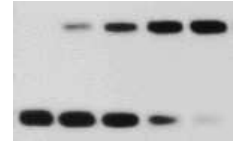
$$\mathcal{E}_{min} = k_B T \ln 2 \quad (\text{joules per bit})$$



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- Number of bits that could have been selected:

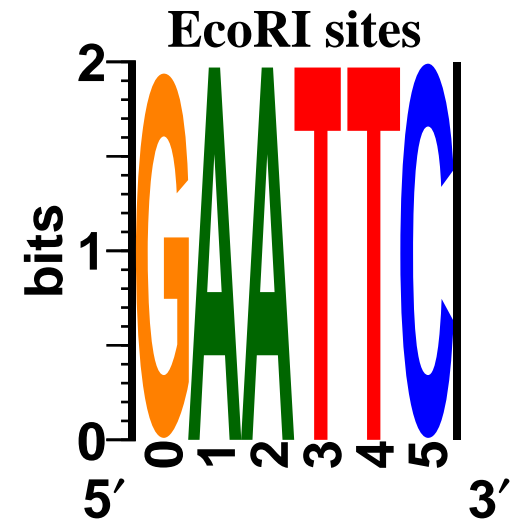
$$\begin{aligned} R_{energy} &= -\Delta G^{\circ} / \mathcal{E}_{min} \\ &= k_B T \ln K_{spec} / k_B T \ln 2 \\ &= \log_2 K_{spec} \quad \Leftarrow \text{SO SIMPLE!} \\ &= \boxed{17.3 \text{ bits per binding}} \end{aligned}$$

Information/Energy = Efficiency of EcoRI

EcoRI could have made 17.3 binary choices

Information/Energy = Efficiency of EcoRI

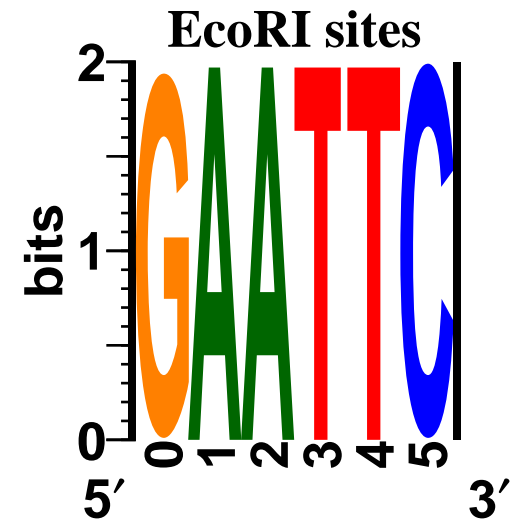
EcoRI could have made 17.3 binary choices
...but it only made 12 choices.



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Efficiency is
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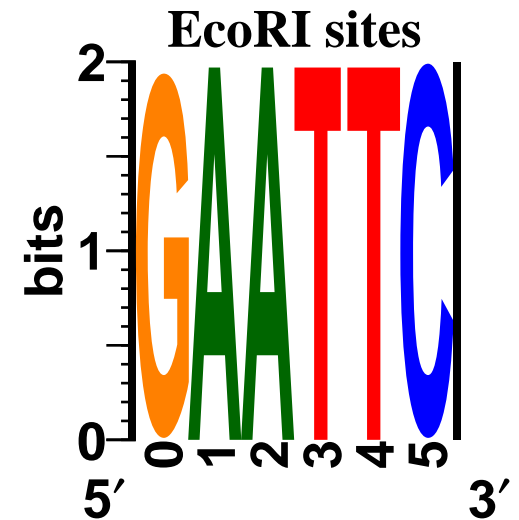


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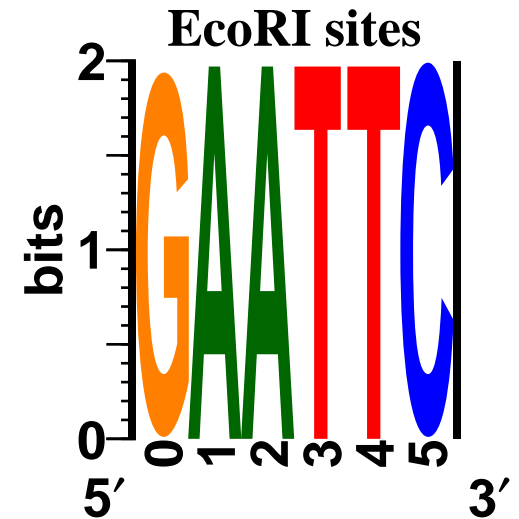
Information/Energy = Efficiency of EcoRI = 70%

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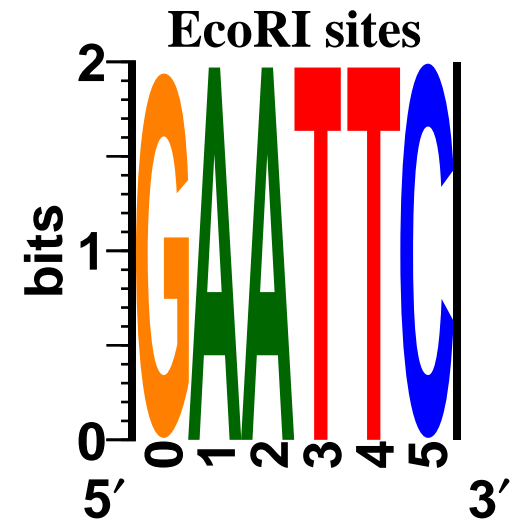
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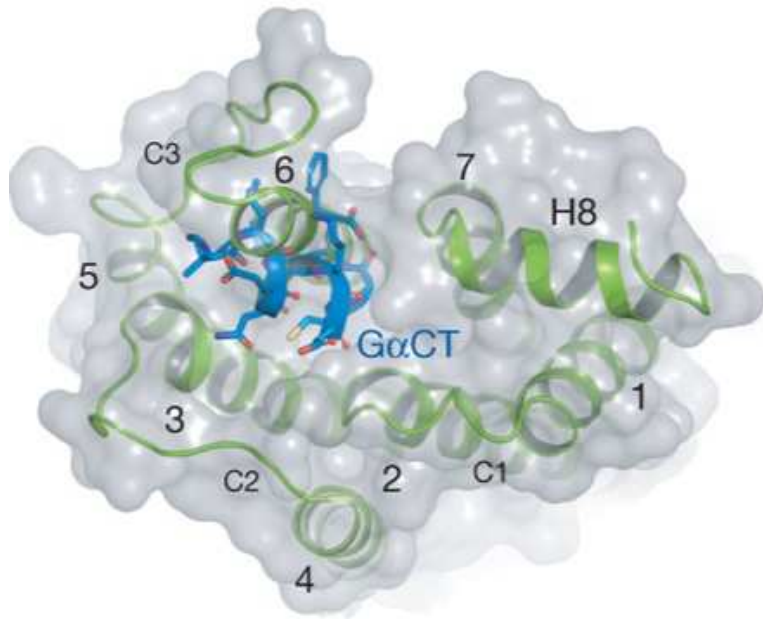
The efficiency is 70%.

18 out of 19 DNA binding proteins give ~70% efficiency.



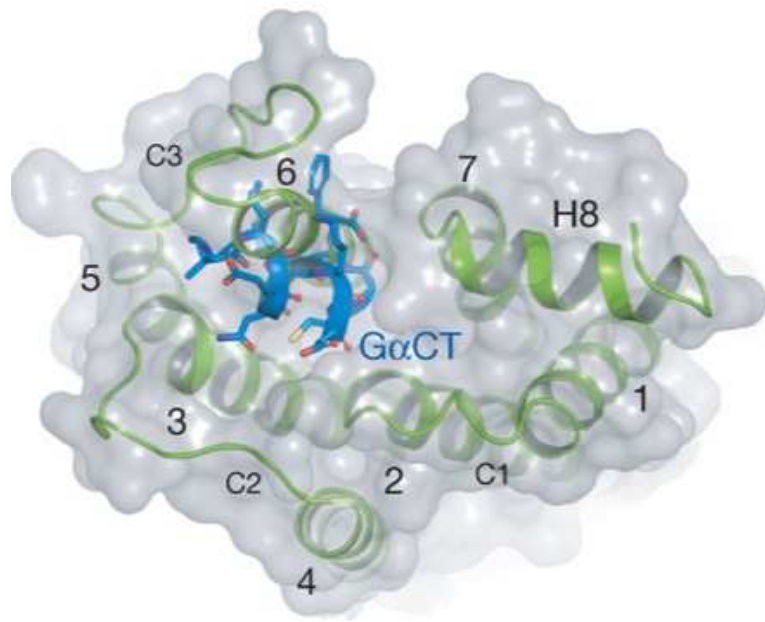
Rhodopsin Shape Change

Dark State



Rhodopsin Shape Change

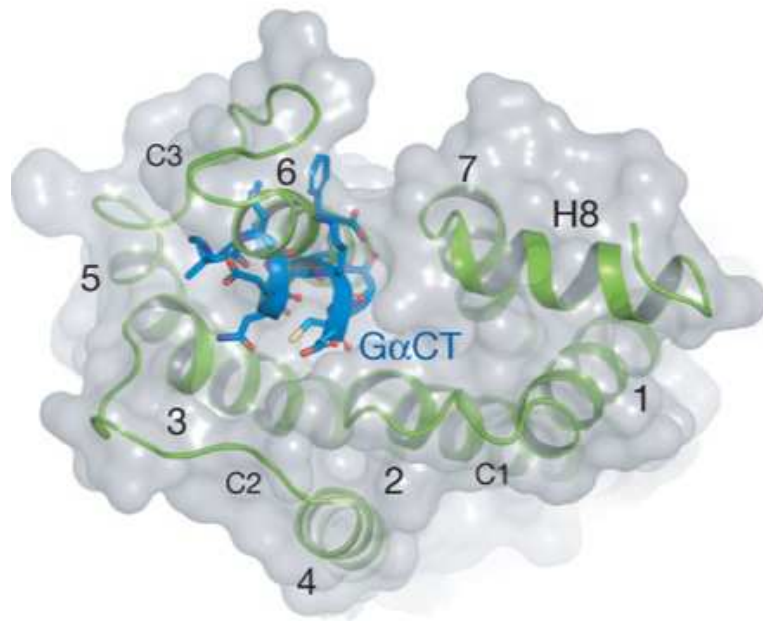
Dark State



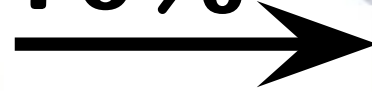
$h\nu$

Rhodopsin Shape Change

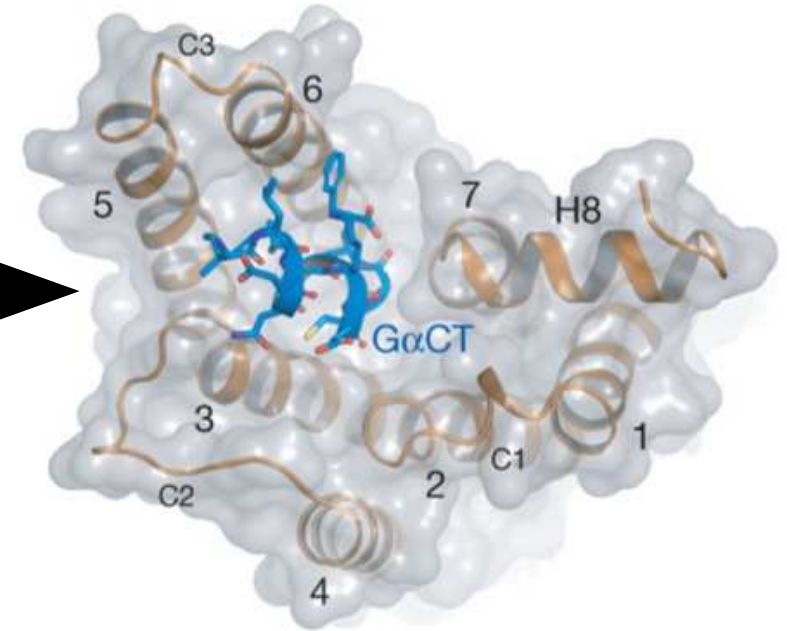
Dark State



$h\nu$
70%

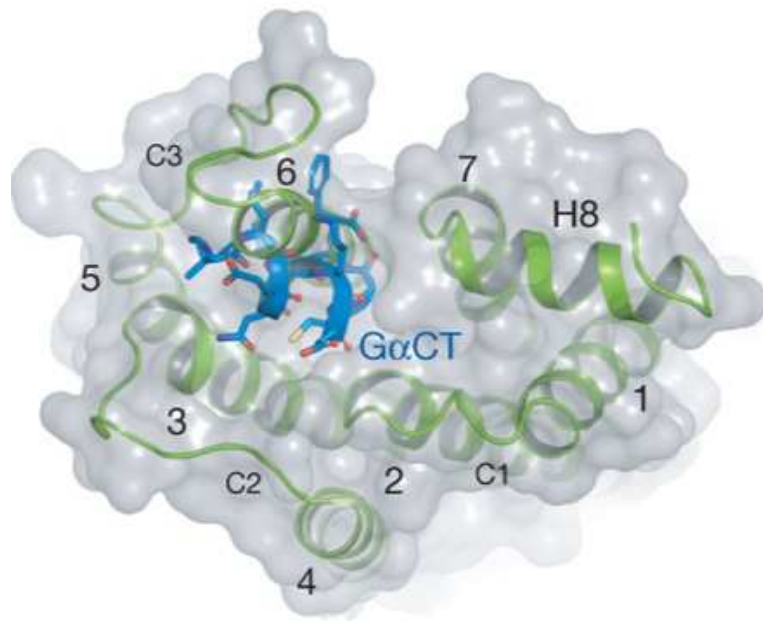


After Photon - Light State

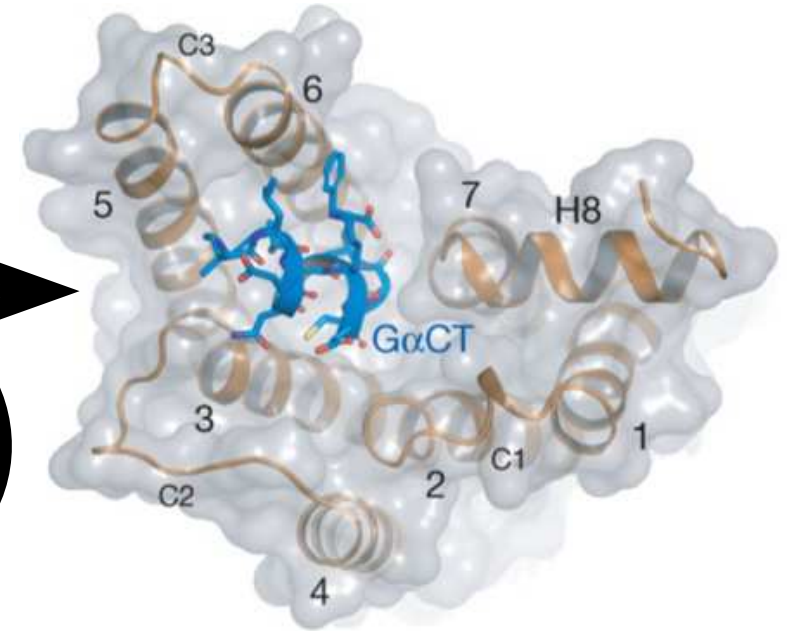


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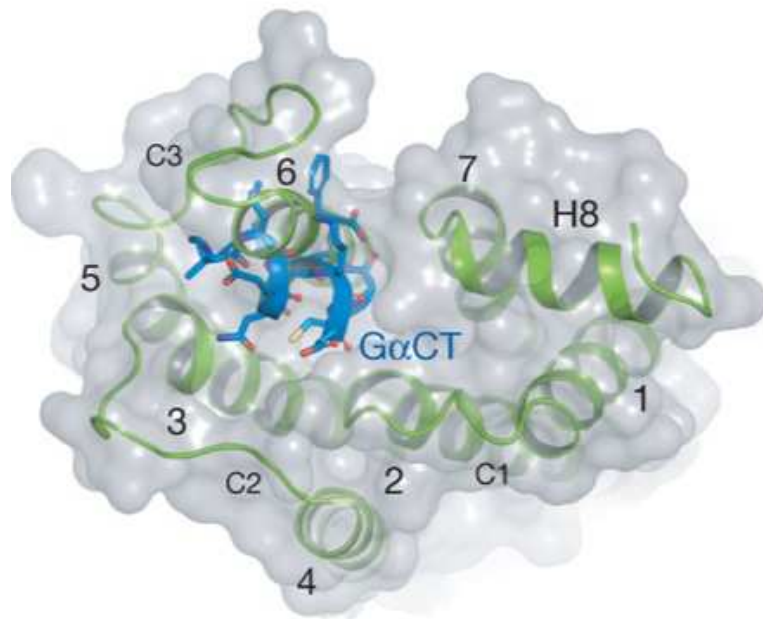
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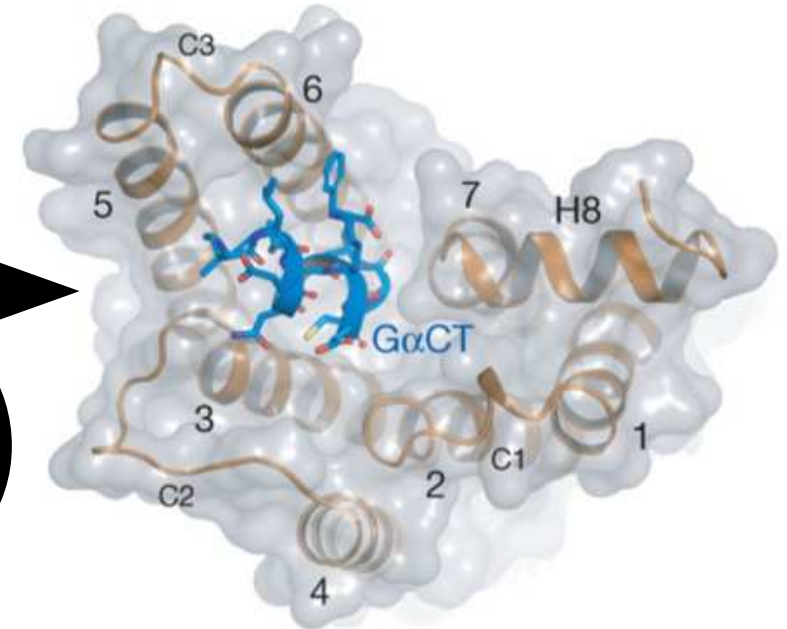
$h\nu$
70%
30%

Rhodopsin Shape Change

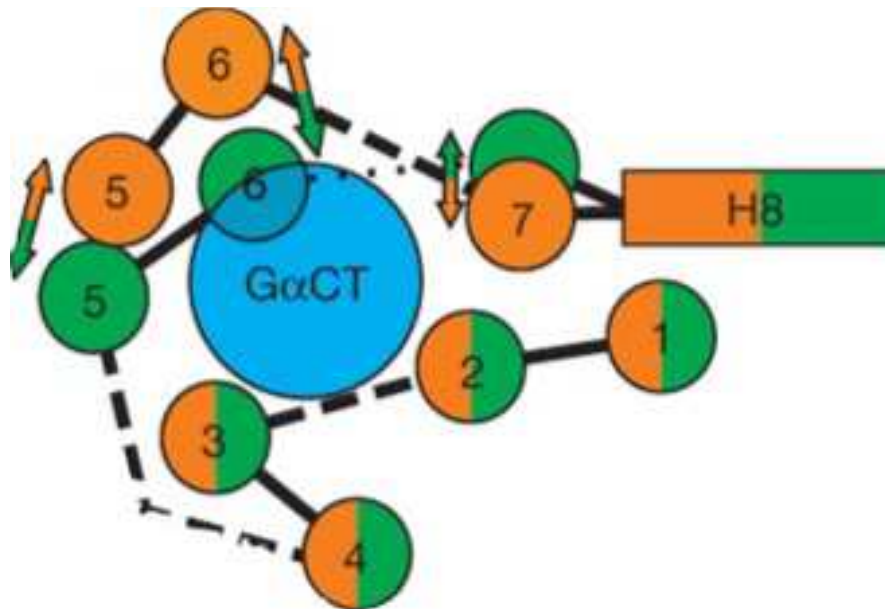
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After Photon - Light State

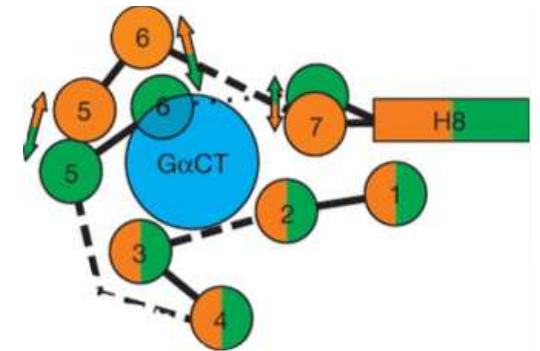
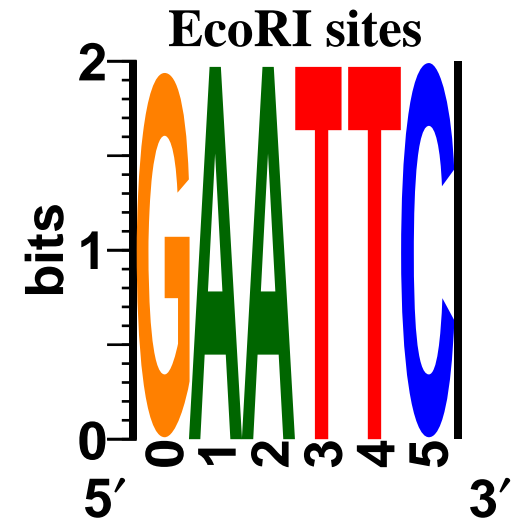


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Why are molecular machines 70% efficient?

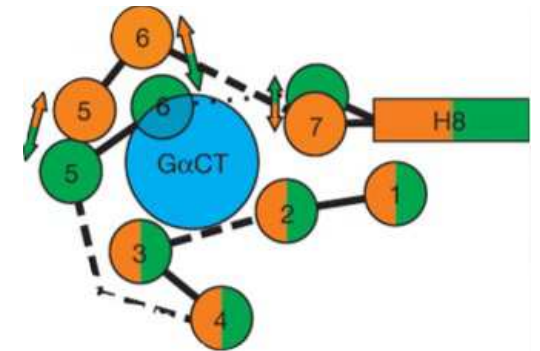
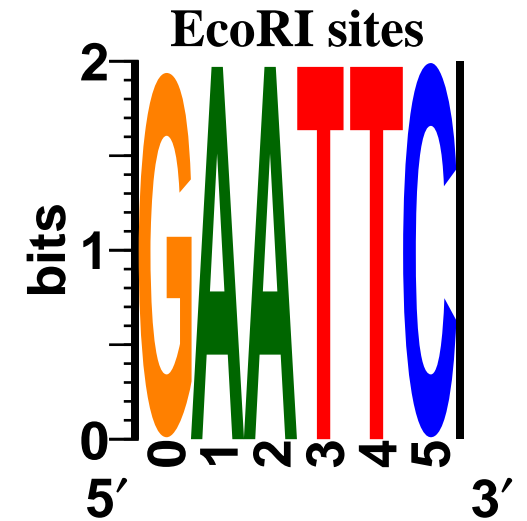
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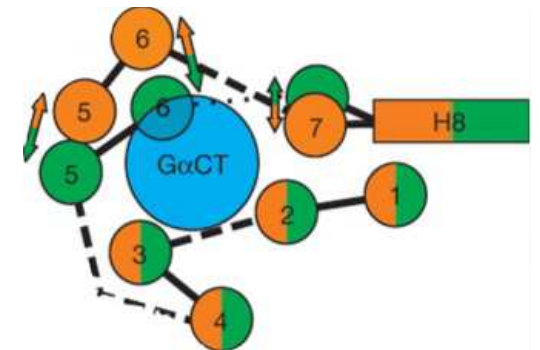
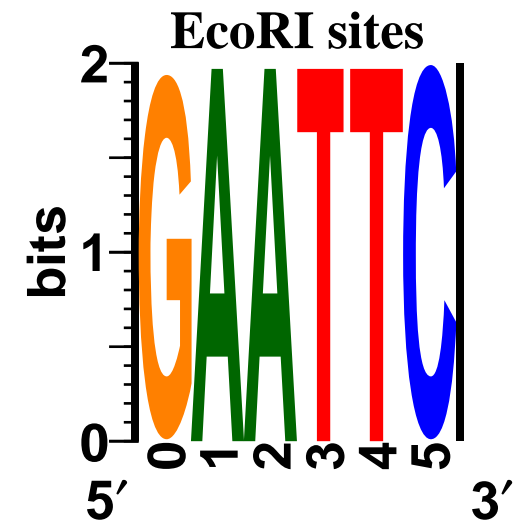
- DNA - protein binding



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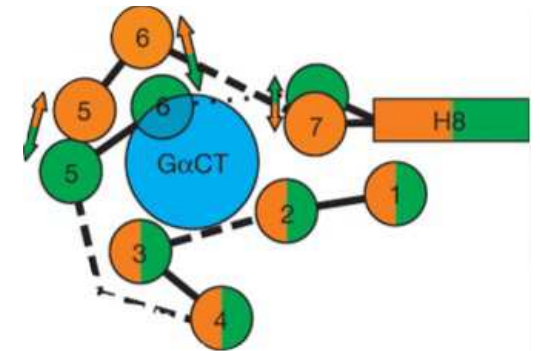
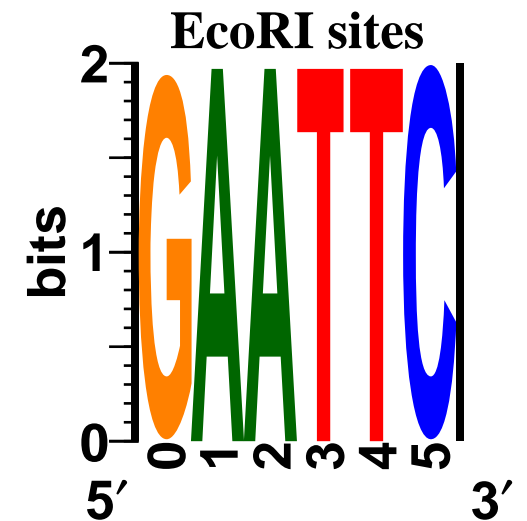
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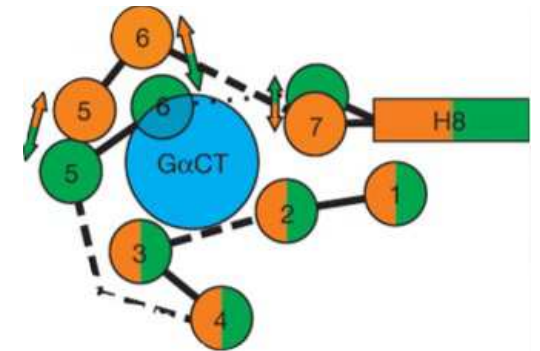
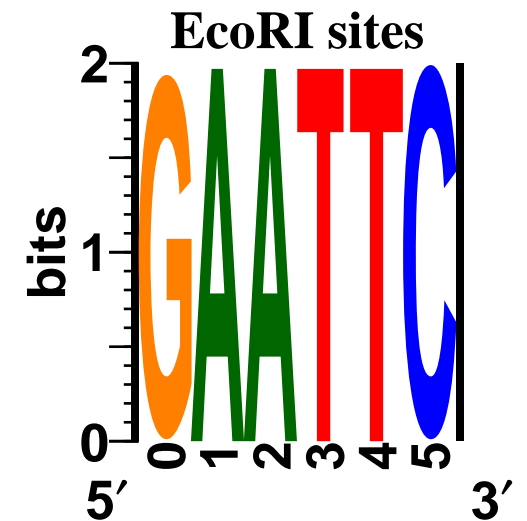
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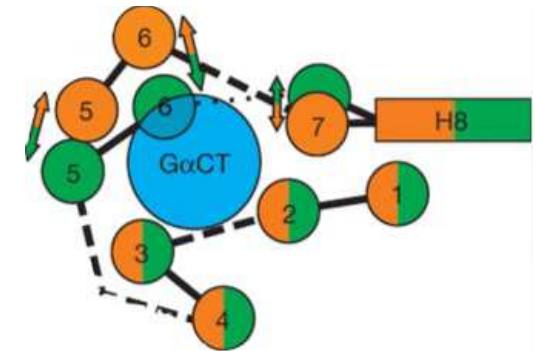
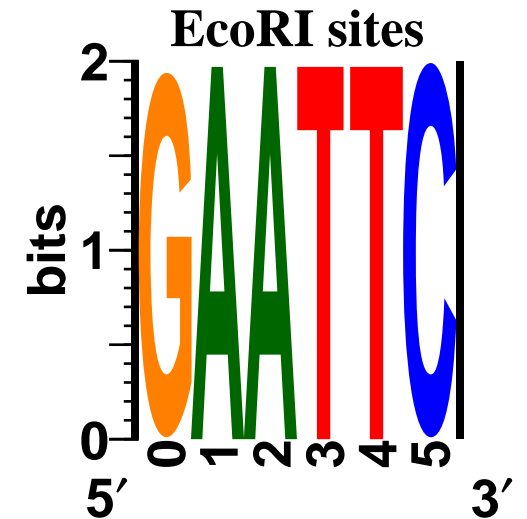


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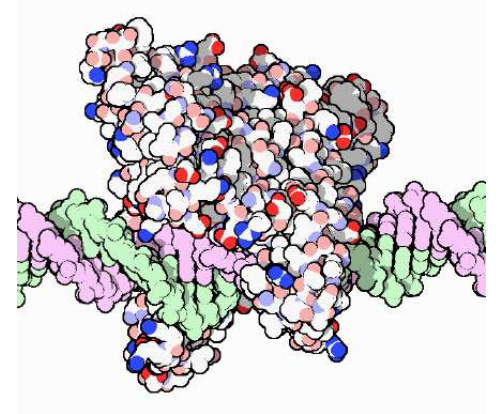
Why 70% efficiency?



Theoretical Isothermal Efficiency

- For molecular states of molecules with d_{space} 'parts' P_y energy is dissipated for noise N_y and

$$C = d_{space} \log_2(P_y/N_y + 1) \leftarrow \text{machine capacity}$$

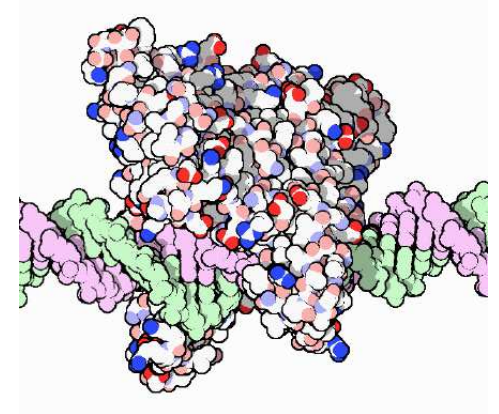


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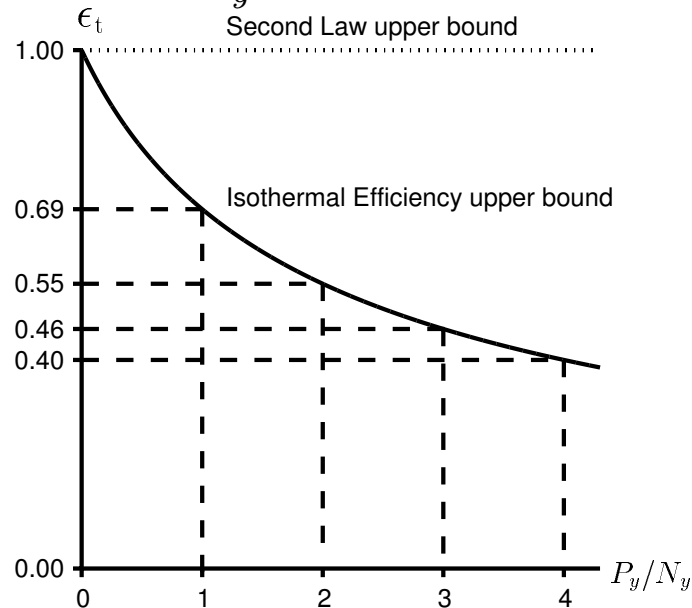
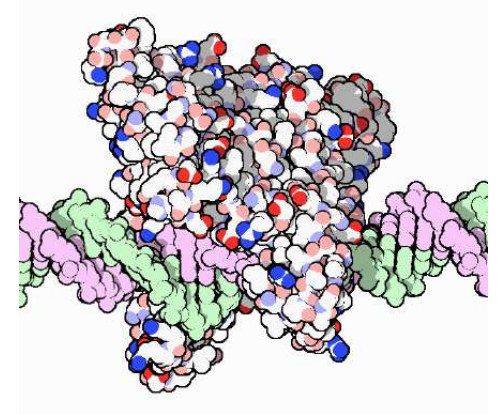


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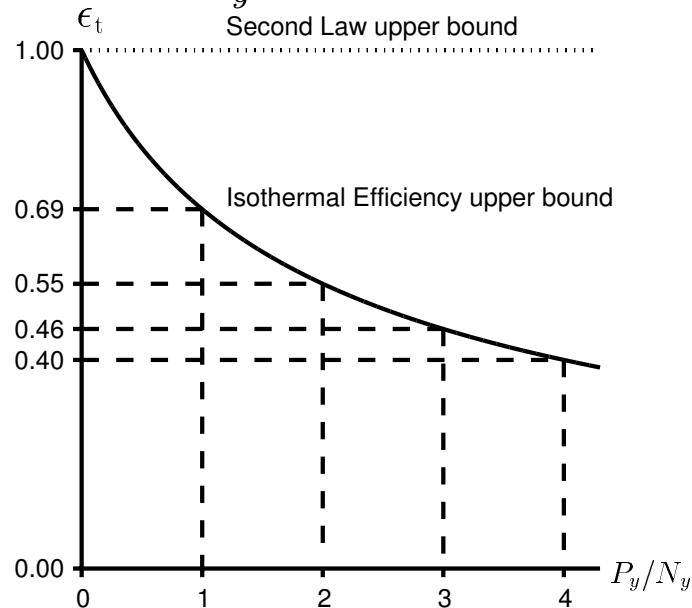
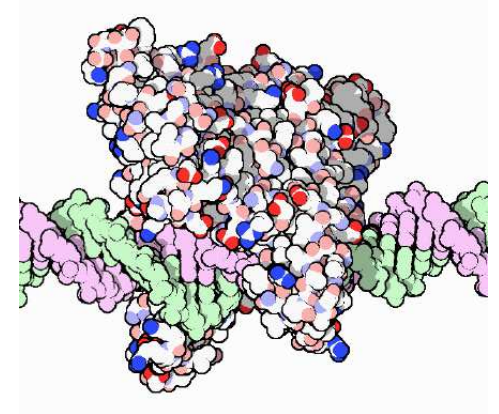
The curve is an upper bound

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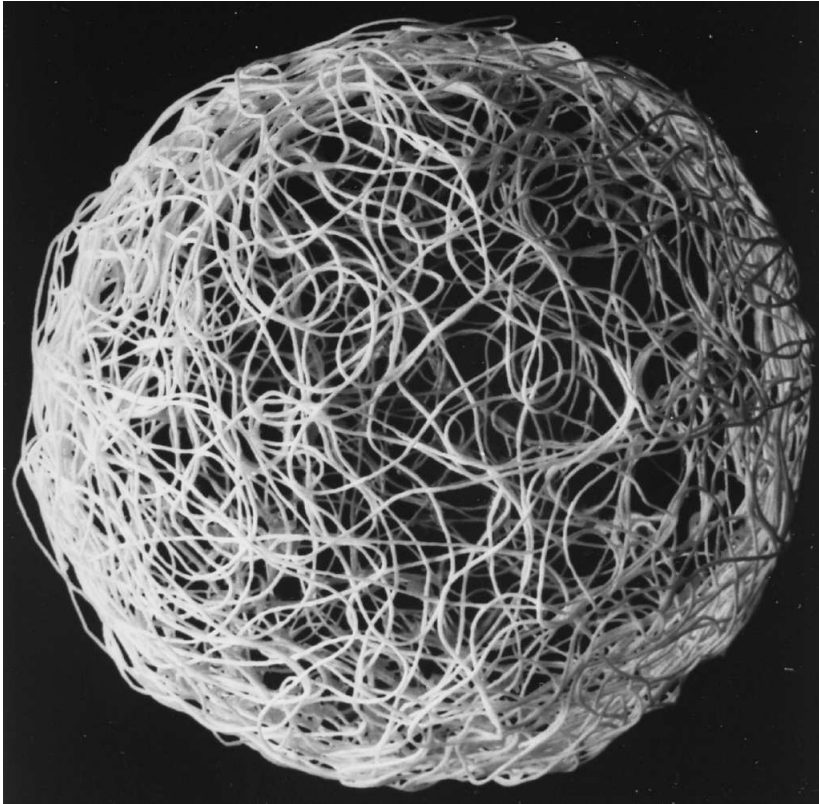


The curve is an upper bound

- If $P_y/N_y = 1$ the efficiency is 70%!

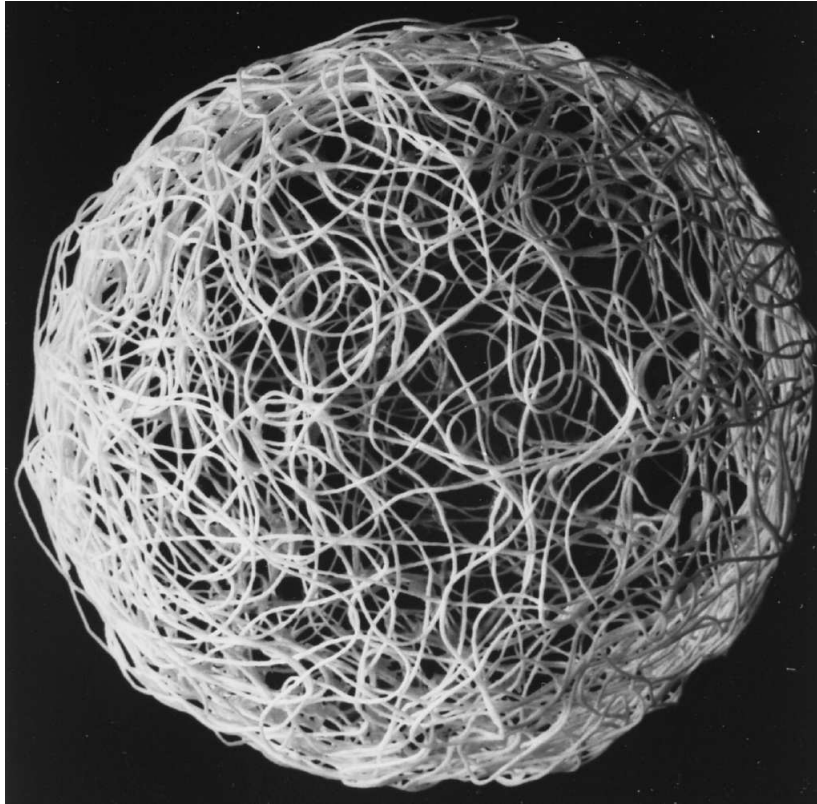
N Dimensional Sphere Separation

Degenerate Sphere

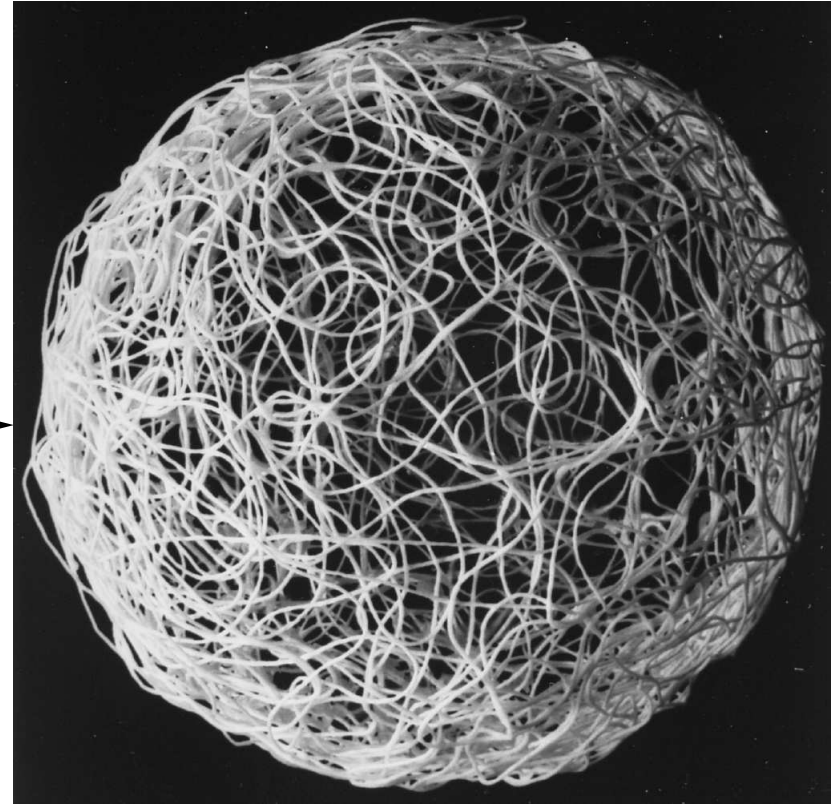


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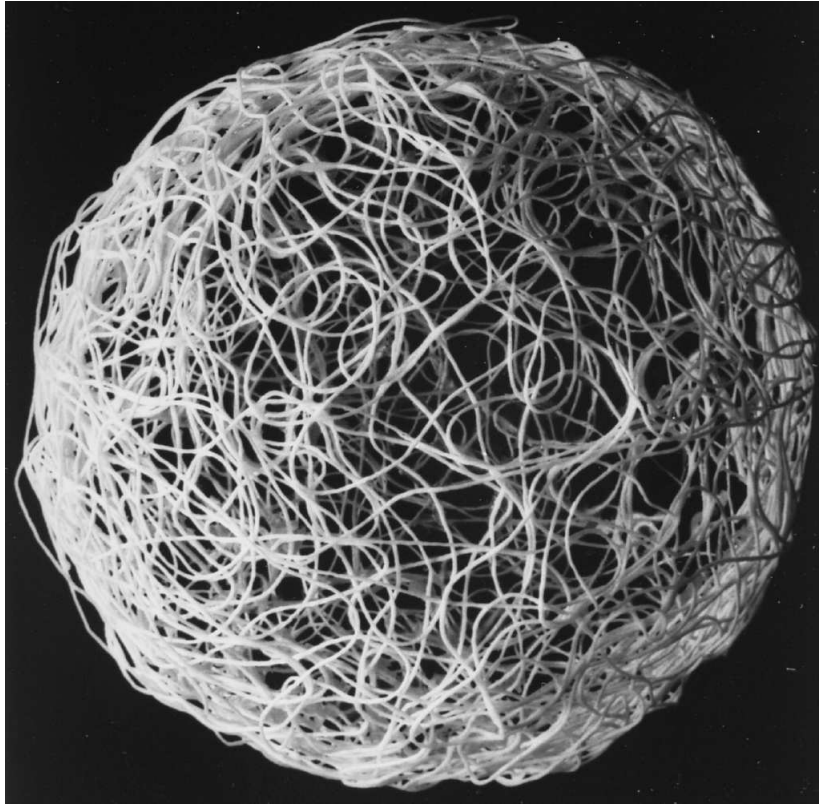


Forward Sphere

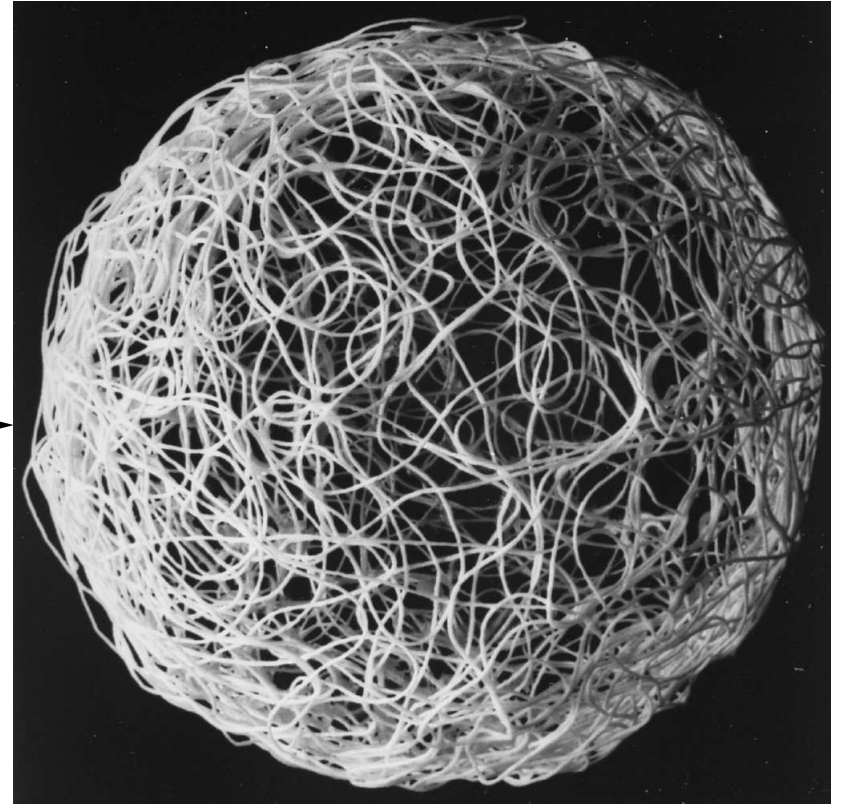


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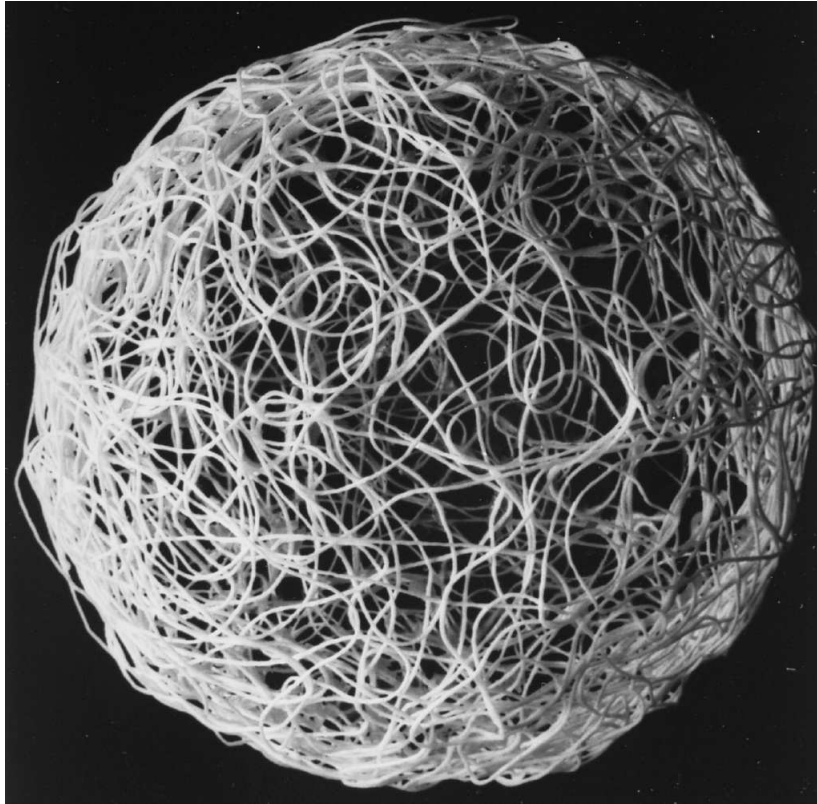
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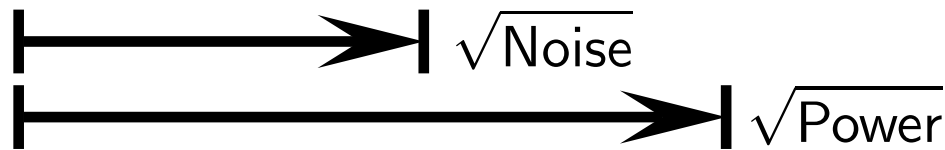
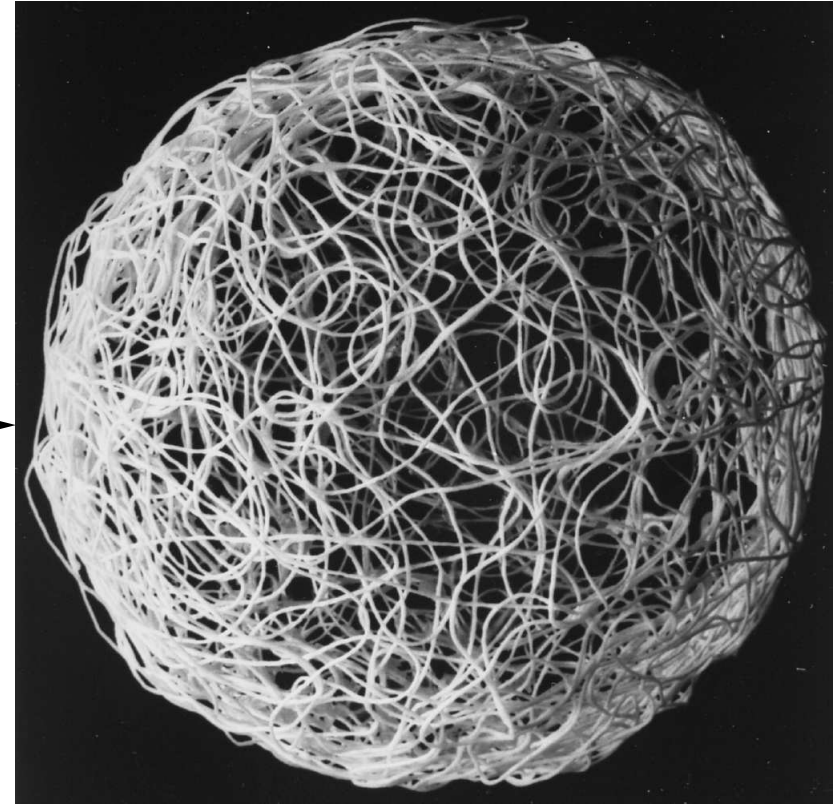
$\sqrt{\text{Noise}}$

N Dimensional Sphere Separation

Degenerate Sphere

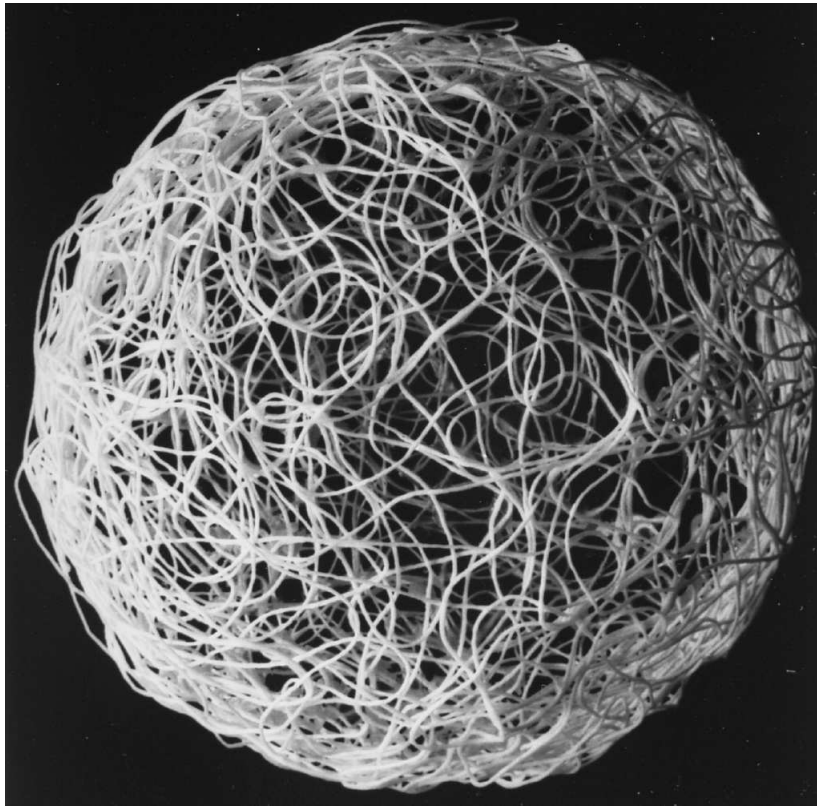


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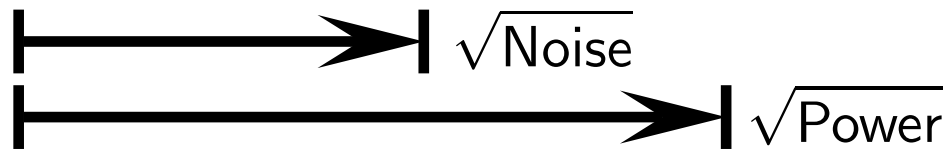
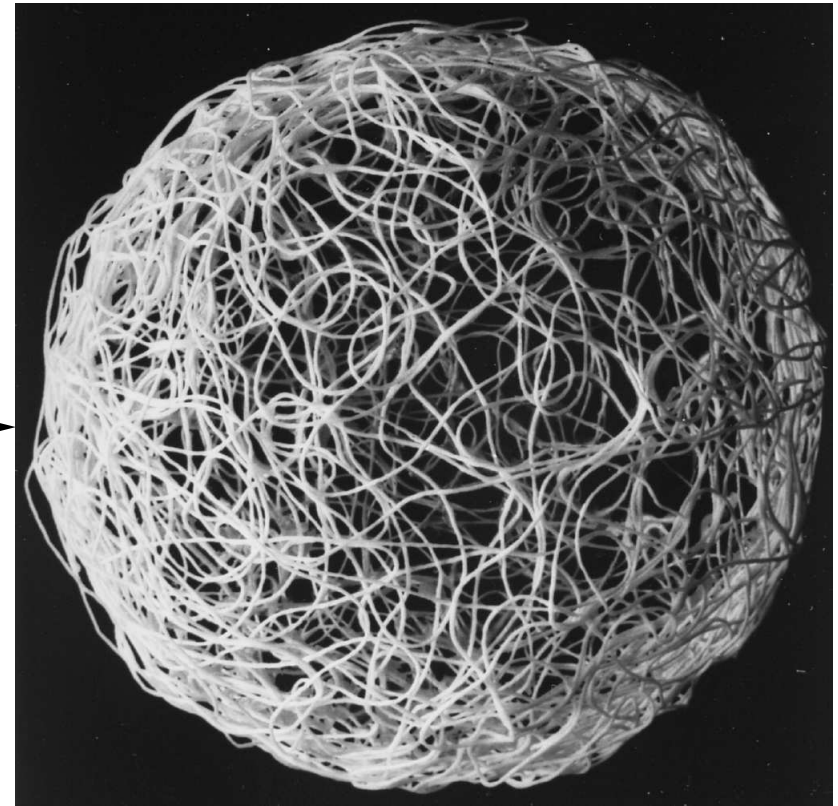


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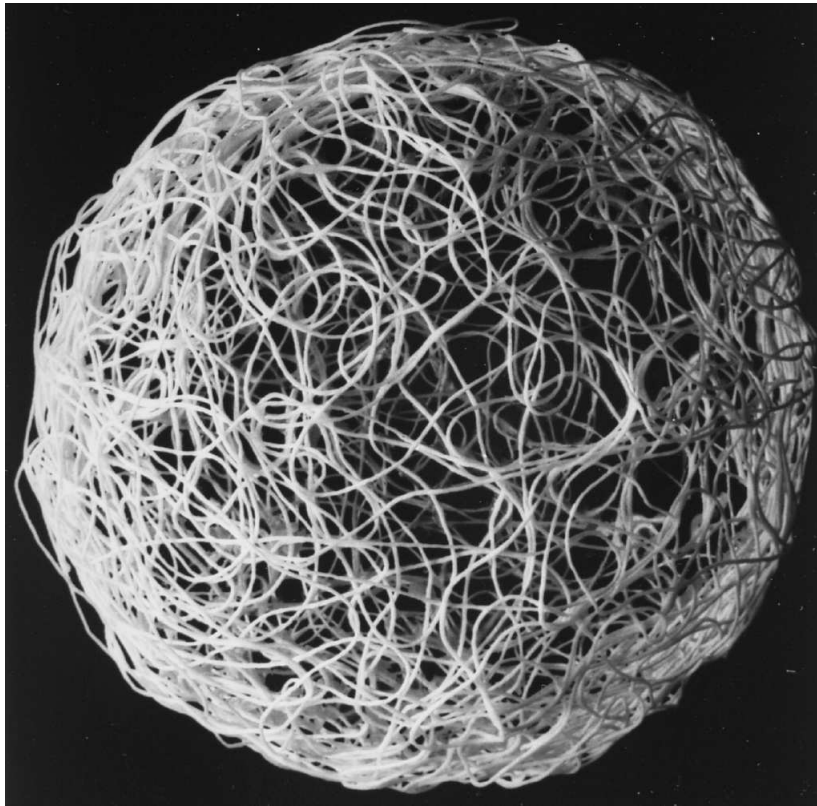
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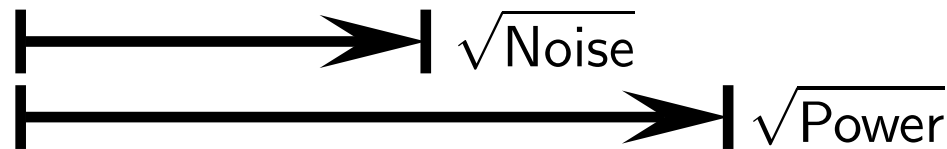
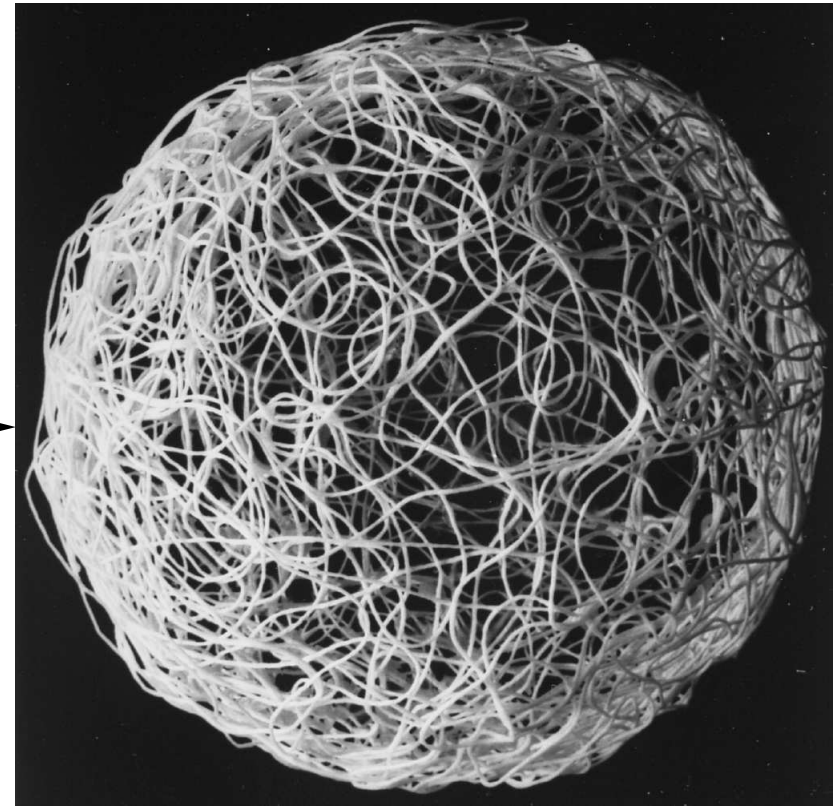
Energy dissipated to escape the Degenerate Sphere must exceed the Noise

N Dimensional Sphere Separation

Degenerate Sphere



Forward Sphere



Energy dissipated to escape the Degenerate Sphere must exceed the Noise

$$\sqrt{\text{Power}} > \sqrt{\text{Noise}}$$

Why is the Genetic Code Degenerate?

The Genetic Code

Second base in codon

U C A G

U
 Phe Ser Tyr Cys U
 Phe Ser Tyr Cys C
 Leu Ser och opa A
 Leu Ser amb Trp G

C
 Leu Pro His Arg U
 Leu Pro His Arg C
 Leu Pro Gln Arg A
 Leu Pro Gln Arg G

A
 Ile Thr Asn Ser U
 Ile Thr Asn Ser C
 Ile Thr Lys Arg A
 Met Thr Lys Arg G

G
 Val Ala Asp Gly U
 Val Ala Asp Gly C
 Val Ala Glu Gly A
 Val Ala Glu Gly G

Third base in codon

First base in codon

The Genetic Code

Second base in codon

U C A G

U

Phe	Ser	Tyr	Cys	U
Phe	Ser	Tyr	Cys	C
Leu	Ser	och	opa	A
Leu	Ser	amb	Trp	G

C

Leu	Pro	His	Arg	U
Leu	Pro	His	Arg	C
Leu	Pro	Gln	Arg	A
Leu	Pro	Gln	Arg	G

A

Ile	Thr	Asn	Ser	U
Ile	Thr	Asn	Ser	C
Ile	Thr	Lys	Arg	A
Met	Thr	Lys	Arg	G

G

Val	Ala	Asp	Gly	U
Val	Ala	Asp	Gly	C
Val	Ala	Glu	Gly	A
Val	Ala	Glu	Gly	G

Third base in codon

64 codons

$$\log_2 64 = 6 \text{ bits/amino acid}$$

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First base in codon	U	Phe	Ser	Tyr	Cys	U
		Phe	Ser	Tyr	Cys	C
		Leu	Ser	och	opa	A
		Leu	Ser	amb	Trp	G
	C	Leu	Pro	His	Arg	U
		Leu	Pro	His	Arg	C
		Leu	Pro	Gln	Arg	A
		Leu	Pro	Gln	Arg	G
	A	Ile	Thr	Asn	Ser	U
		Ile	Thr	Asn	Ser	C
		Ile	Thr	Lys	Arg	A
		Met	Thr	Lys	Arg	G
	G	Val	Ala	Asp	Gly	U
		Val	Ala	Asp	Gly	C
		Val	Ala	Glu	Gly	A
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20 amino acids

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Efficiency of The Genetic Code

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		Leu	Pro	Gln	Arg	A
		Leu	Pro	Gln	Arg	G
	A	Ile	Thr	Asn	Ser	U
		Ile	Thr	Asn	Ser	C
		Ile	Thr	Lys	Arg	A
		Met	Thr	Lys	Arg	G
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Compute Efficiency

$$\begin{aligned} \epsilon_r &= \frac{\log_2 \text{actual choices}}{\log_2 \text{maximum choices}} \\ &= \frac{4.3}{6} = 0.72 \end{aligned}$$

Efficiency of The Genetic Code

Second base in codon

U C A G

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	Leu	Ser	och	opa	A
	Leu	Ser	amb	Trp	G
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	Leu	Pro	His	Arg	C
	Leu	Pro	Gln	Arg	A
	Leu	Pro	Gln	Arg	G
A	Ile	Thr	Asn	Ser	U
	Ile	Thr	Asn	Ser	C
	Ile	Thr	Lys	Arg	A
	Met	Thr	Lys	Arg	G
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	Val	Ala	Asp	Gly	C
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The Genetic Code fits the theory!

Amino Acid Frequencies

A	114882992
C	19056074
D	73332522
E	84344300
F	52828061
G	91113903
H	29753791
I	75133404
K	71121318
L	130161413
M	29818802
N	57427084
O	8
P	67078118
Q	53820991
R	78100977
S	100354324
T	75562140
U	477
V	87249674
W	16751452
Y	40544232

Refine the Calculation

Obtain actual amino acid frequencies from the 50% sequence identity non-redundant Protein Information Resource (PIR) UniRef50 database, January 2011.

$$n = 1,240,702,008 = 1.2 \times 10^9 \text{ amino acids}$$

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Compute the uncertainty:

$$\begin{aligned} H_{aa} &= - \sum_{aa = A}^Y P_{aa} \log_2 P_{aa} \quad \text{bits per amino acid} \\ &= 4.170 \quad \text{bits per amino acid} \end{aligned}$$

That's what is actually accomplished by translation.

Translational Efficiency

Compute the efficiency:

$$\epsilon_r = \frac{4.170}{6}$$

		Second base in codon				
		U	C	A	G	
First base in codon	U	Phe	Ser	Tyr	Cys	U
		Phe	Ser	Tyr	Cys	C
		Leu	Ser	och	opa	A
		Leu	Ser	amb	Trp	G
	C	Leu	Pro	His	Arg	U
		Leu	Pro	His	Arg	C
		Leu	Pro	Gln	Arg	A
	A	Leu	Pro	Gln	Arg	G
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Val		Ala	Asp	Gly	U	
Val		Ala	Asp	Gly	C	
Val		Ala	Glu	Gly	A	
	Val	Ala	Glu	Gly	G	

Translational Efficiency

Compute the efficiency:

$$\begin{aligned} \epsilon_r &= \frac{4.170}{6} \\ &= 0.6949 \text{ Measured efficiency} \end{aligned}$$

		Second base in codon				
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U	Phe	Ser	Tyr	Cys	U	
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Efficiency of the Genetic Code

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Error rate of transcription/translation was not accounted for.

See if we can compute it.

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The theory correctly predicts the error rate of translation

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Combine:
Frequencies of >1 billion amino acids

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The theory matches the data to 4 decimal places!

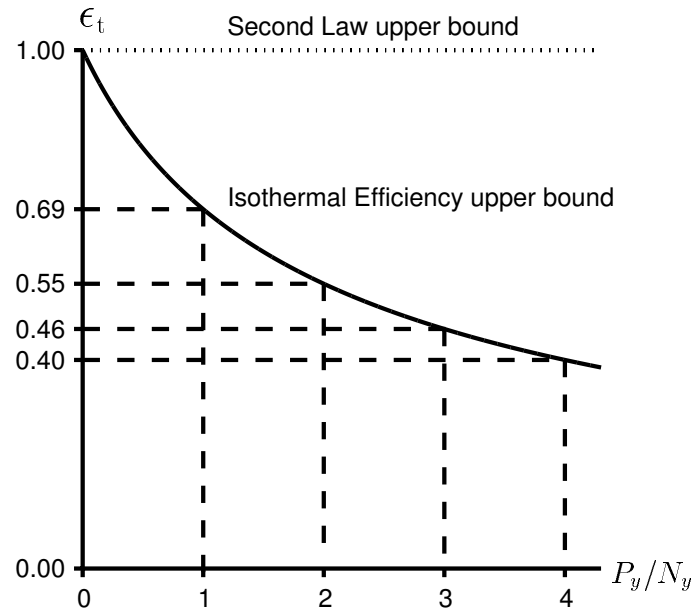
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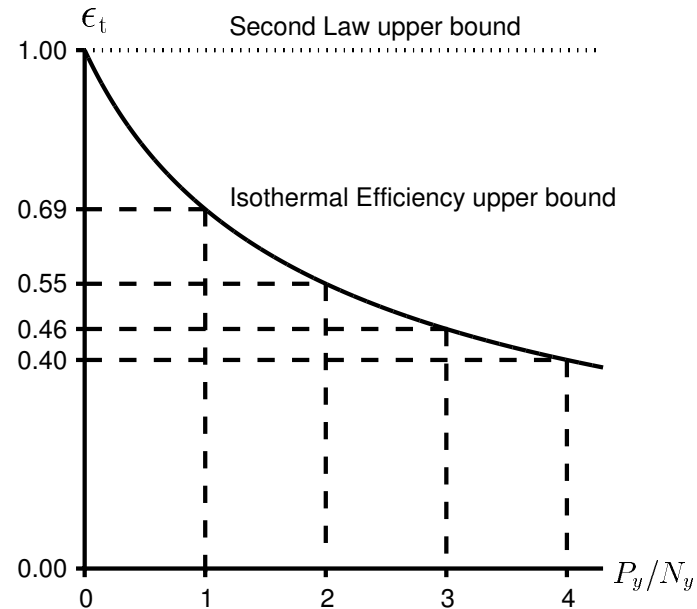
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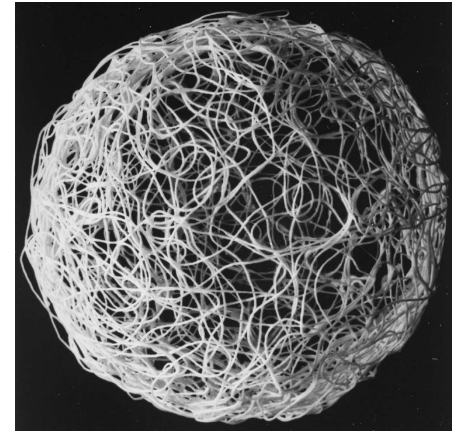
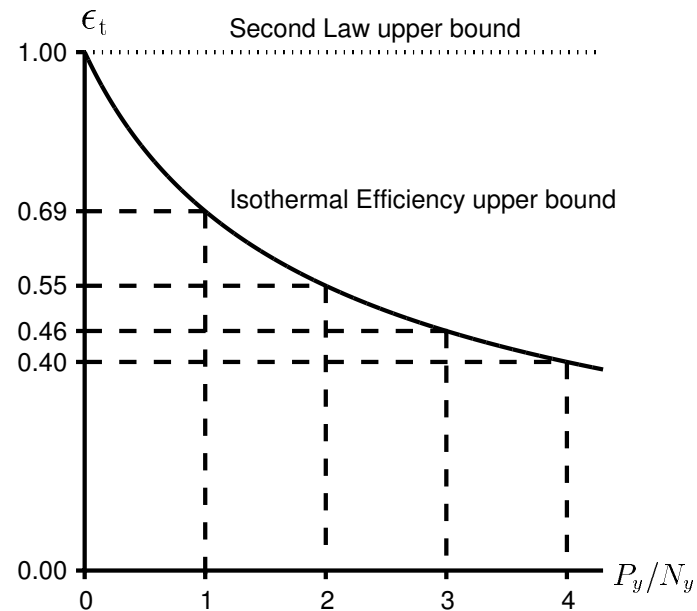


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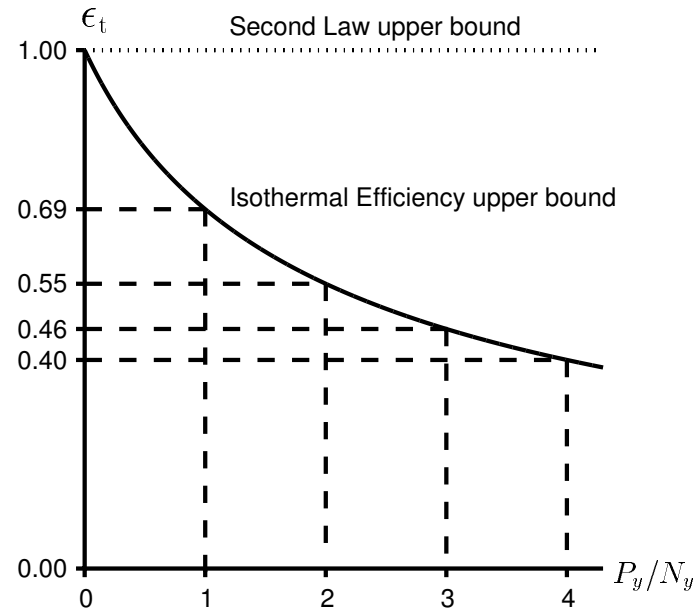


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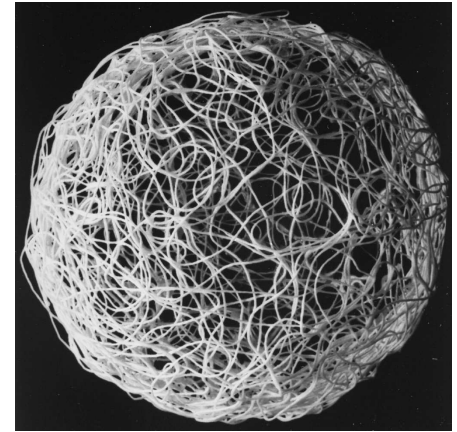
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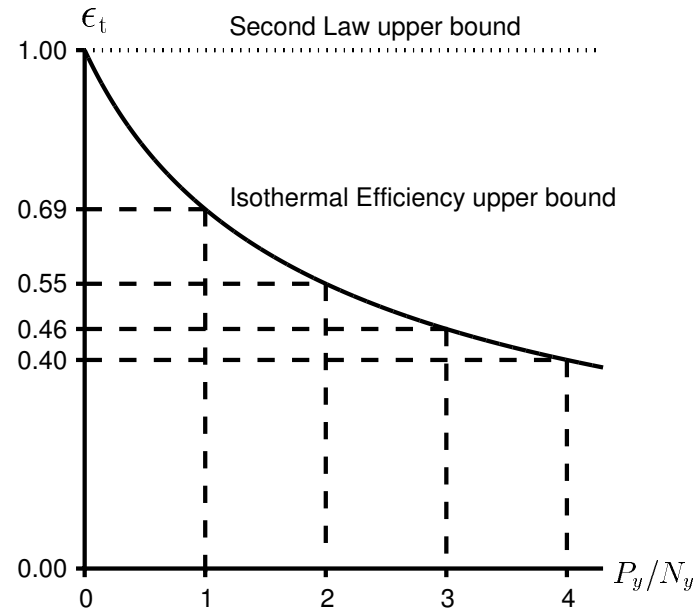
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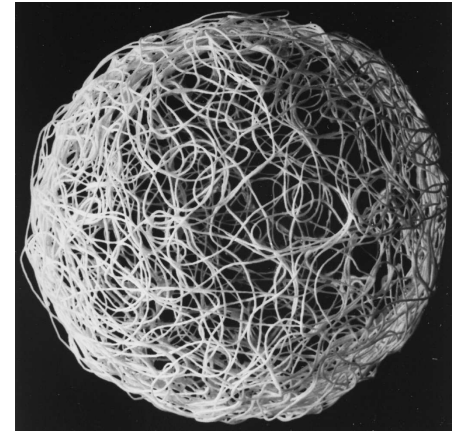
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- ... so $P_y/N_y \geq 1$
- ... so the amino acid states are distinct high dimensional spheres
- ... and there is good sphere packing: the spheres do not intersect.
- The price for having distinct states is 'degeneracy'.



Acknowledgments

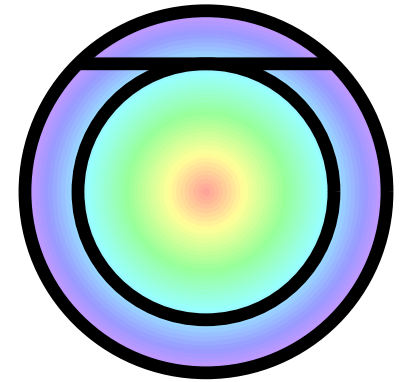
Herbert A. Schneider (1922-2009)

Andrzej Ehrenfeucht

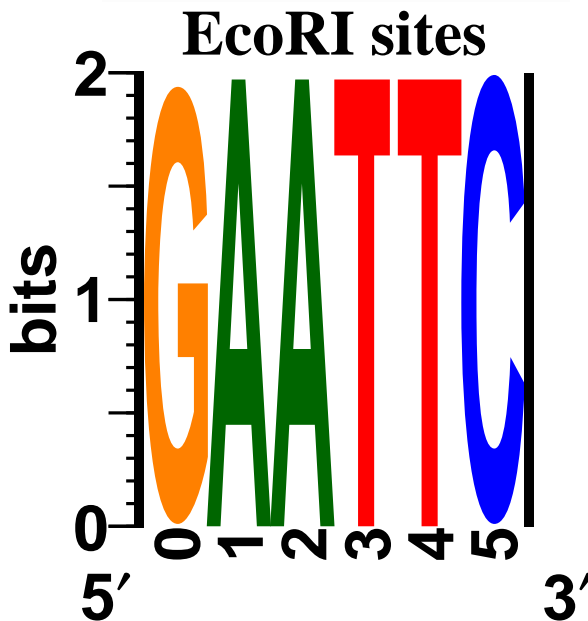
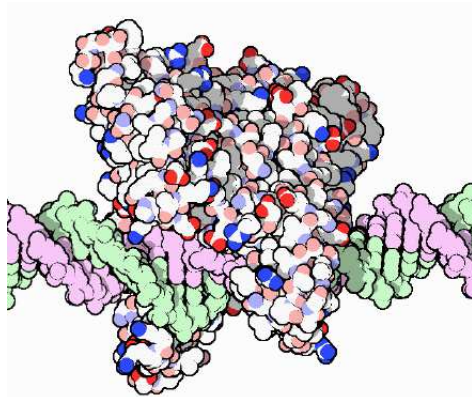
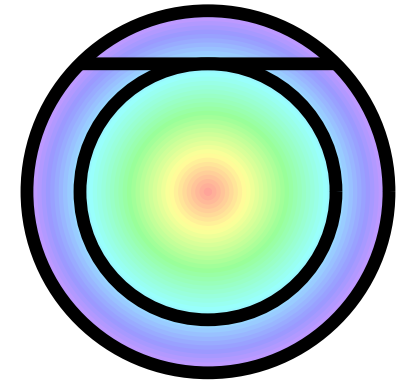
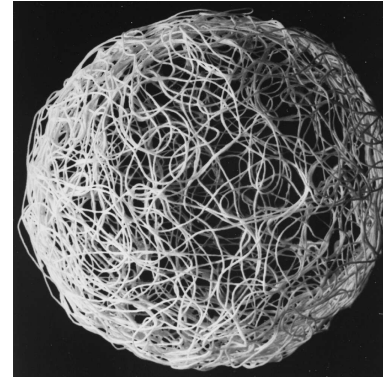
John Spouge, Peter Rogan, John Garavelli

Andrzej Konopka, Martin Bier, Ilya Lyakhov, Danielle Needle, Peyman Khalichi, Carrie Paterson, Ryan Shultzaberger, Amar Klar, Peter Lemkin, Barry Zeeberg, Lynn Bayer, Zehua Chen, Blake Sweeney, Bert Gold, Sorina Eftim, Mikhail Kashlev, Alex Mitrophanov, Peter Thomas, Hong Qian, Baris E. Suzek, Jeff Strathern and Peter Wills

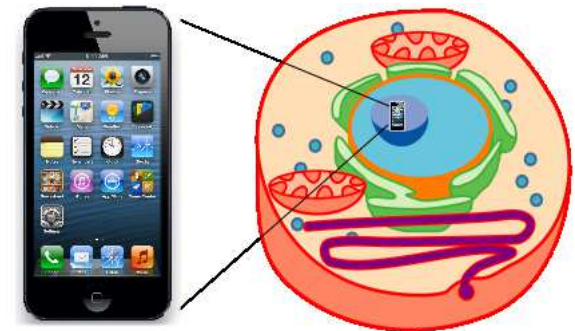
National Institutes of Health, National Cancer Institute



Web site:
TinyURL.com/tomschneider



		Second base in codon				
		U	C	A	G	
U	First base in codon	Phe	Ser	Tyr	Cys	U
		Phe	Ser	Tyr	Cys	C
		Leu	Ser	och	opa	A
		Leu	Ser	amb	Trp	G
C	First base in codon	Leu	Pro	His	Arg	U
		Leu	Pro	His	Arg	C
		Leu	Pro	Gln	Arg	A
		Leu	Pro	Gln	Arg	G
A	First base in codon	Ile	Thr	Asn	Ser	U
		Ile	Thr	Asn	Ser	C
		Ile	Thr	Lys	Arg	A
		Met	Thr	Lys	Arg	G
G	First base in codon	Val	Ala	Asp	Gly	U
		Val	Ala	Asp	Gly	C
		Val	Ala	Glu	Gly	A
		Val	Ala	Glu	Gly	G



Version

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